

Public Document Pack



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OVERVIEW AND SCRUTINY MANAGEMENT BOARD

DATE: WEDNESDAY 3 FEBRUARY 2010
TIME: 2.00 PM
PLACE: COUNCIL HOUSE (NEXT TO THE CIVIC CENTRE)

Committee Members –

Councillor James, Chair
Councillor Mrs Watkins, Vice Chair
Councillors Coker, Fox, Purnell, Roberts, Thompson, Viney and Wildy

Co-opted Representative –

Mr D Fletcher (Chamber of Commerce)

Substitutes–:

Named substitutes from the Panels may act as a substitute member provided that they do not have a personal and prejudicial interest in the matter under review.

Members are invited to attend the above meeting to consider the items of business overleaf.

Members and Officers are requested to sign the attendance list at the meeting.

BARRY KEEL
CHIEF EXECUTIVE

OVERVIEW AND SCRUTINY MANAGEMENT BOARD

PART I (PUBLIC AGENDA)

AGENDA

1. APOLOGIES AND SUBSTITUTIONS

To receive apologies for non-attendance submitted by Overview and Scrutiny Management Board Members and to note the attendance of substitutes in accordance with the Constitution.

2. DECLARATIONS OF INTEREST

Members will be asked to make any declarations of interest in respect of items on this agenda.

3. MINUTES

(Pages 1 - 6)

The Management Board will be asked to agree the minutes of the meeting held on 6 January 2010.

4. CHAIR'S URGENT BUSINESS

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

5. CALL-INS:

5a Members will be advised of any executive decisions that have been called in

5b To monitor actions from Call-ins

5c Members will receive a schedule of executive decisions that have been deemed urgent with the agreement of the Chair

(Pages 7 - 8)

6. TRACKING RESOLUTIONS

(Pages 9 - 16)

The Management Board will monitor progress on previous resolutions, including relevant resolutions of the LSP executive.

7. LOCAL STRATEGIC PARTNERSHIP / OVERVIEW AND SCRUTINY PANELS UPDATES:

7a To receive updates from each Panel on ongoing / completed work with the related LSP Theme Groups

- 7b To agree further meetings / discussions with the LSP Theme Groups

8. PERFORMANCE MONITORING:

- 8a To receive the bi-monthly Finance and Performance Report and to identify issues for further review / monitoring by Panels **(Pages 17 - 90)**
- 8b To receive updates from Panels on actions / progress on performance issues previously identified
- 8c To monitor performance against Local Area Agreement targets and to identify issues for further review / monitoring by Panels
- 8d To monitor performance against the Scrutiny Improvement Plan / development of Protocols (TO FOLLOW)

The Head of Policy, Performance and Partnerships will submit revised Scrutiny and LSP Theme Group inter-working protocols which will be subject to discussion at LSP Executive on 27 January 2010.

9. QUARTERLY REPORTS

The Chair will provide feedback from Cabinet in respect of the recent overview and scrutiny quarterly report.

10. RECOMMENDATIONS FROM PANELS:

- 10a To receive and consider recommendations from Panels for O & S Management Board, Cabinet or Council (TO FOLLOW)
- 10b To monitor actions against recommendations made to Cabinet / Council

11. WORK PROGRAMMES:

- 11a To consider and approve work programmes for each of the Panels **(Pages 91 - 104)**
- 11b To consider and approve additions to work programmes

11c To receive new items from the Forward Plans for 1 January to 30 April 2010 and 1 February to 31 May 2010 with a view to identifying items for scrutiny

(Pages 105 - 116)

11d To agree Project Initiation Documents / Task and Finish Groups (TO FOLLOW)

The Customers & Communities OSP will submit PIDs for the following two topics –

- Councillor Call for Action Toolkit
- Financial Inclusion Strategy – Refresh Action Plan

11e To receive updates on Task and Finish Groups

12. COMMUNICATIONS:

12a To receive reports of any press coverage

12b To consider any communication plans

13. EXEMPT BUSINESS

To consider passing a resolution under Section 100A (4) of the Local Government Act 1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve(s) the likely disclosure of exempt information as defined in paragraph(s) ... of Part 1 of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000.

PART II (PRIVATE MEETING)

AGENDA

MEMBERS OF THE PUBLIC TO NOTE

that under the law, the Overview and Scrutiny Management Board is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

NIL.

Overview and Scrutiny Management Board

Wednesday 6 January 2010

PRESENT:

Councillor James, in the Chair.
Councillor Mrs Watkins, Vice Chair.
Councillors Coker, Purnell, Thompson and Viney.

Apologies for absence: Councillors Fox, Roberts and Wildy and Mr D Fletcher (co-opted representative).

Also in attendance: The Leader.

The meeting started at 2.00 pm and finished at 4.30 pm.

Note: At a future meeting, the committee will consider the accuracy of these draft minutes, so they may be subject to change. Please check the minutes of that meeting to confirm whether these minutes have been amended.

60. DECLARATIONS OF INTEREST

There were no declarations of interest in accordance with the code of conduct.

61. MINUTES

Resolved that the minutes of the meeting held on the 25 November and 2 December be agreed subject to the following amendments to minute 48 –

Councillor Coker declared an interest in regard to minute no. 55a as a member of the Devonport Regeneration Community Partnership.

62. CHAIR'S URGENT BUSINESS

There were no items of Chairs urgent business.

63. LEADER AND CHIEF EXECUTIVE

The O & S Management Board heard from the Leader and the Chief Executive on Council issues, as follows –

- (i) the Council had performed well in its recent Comprehensive Area Assessment (CAA) and had received a green flag for “for protecting people in Plymouth during large scale emergencies”. Plymouth did not receive a red flag and had performed well regionally;
- (ii) the Life Centre Cabinet report regarding the construction contract award would be published by the end of the week and time had been allowed for scrutiny of the report;
- (iii) the annual Adult Social Care Services performance report from the Care Quality Commission with all seven areas performing well; it was commented that there had been clear progress with improved practice as a result of recommendations of the 2007 service inspection;
- (iv) the City had made a successful bid to act as a host city for the 2018 world cup; success was due to cross party support and the hard work of council officers.

The Leader of the Council commented that the scrutiny function was working well within the council but more could be done to focus more clearly on the scrutiny of partner agencies. In response to questions raised by the Board, it was reported that -

- (v) the green flag awarded by the Audit Commission in the recent CAA was a good example of how the Council was working well with partners such as the Police;
- (vi) the structural changes that had taken place in the Council had focused on growth for the city; a tourism strategy rather than ad-hoc initiatives would form part of the growth agenda;
- (vii) the City Development Company (CDC) had been created to act as a vehicle for Regional Development Agency funding and the Council had been working with the CDC to focus on the growth agenda for the City;
- (viii) the night time economy should not be viewed in isolation from the rest of the City's economy; existing strategies would be improved and implemented through the Development & Regeneration Department in order for the night time economy to benefit the whole economy;
- (ix) CAA was a review of all partners in the City and the principle of partner agencies contributing to scrutiny resource was supported by the Leader and Chief Executive;
- (x) communication arrangements with Plymouth Community Homes and councillors carrying out case work would be reviewed;
- (xi) Children's Services was a priority issue and good progress had been made despite the recent OFSTED report; work was being carried out to improve the computer system used by Children's Services;
- (xii) there was a budgetary pressure in Adult Health and Social Services due to the success of the independent living programme;
- (xiii) there were budgetary pressures in the Children's Services due to increased referrals and numbers of children in care.

Resolved that the following documents be circulated to Members of the Board -

- (a) copy of the new Corporate Management Team diagram;
- (b) the Comprehensive Area Assessment report;
- (c) Life Centre programme;
- (d) Care Quality Commission Annual Performance Assessment (Adult Social Care Services).

64. **CALL-INS**

- (a) Members will be advised of any executive decisions that have been called in**

The Chair advised that no executive decisions had been called in.

- (b) To monitor actions from Call-ins**

There were no actions to monitor from call-ins on this occasion.

- (c) Members will receive a schedule of executive decisions that have been deemed urgent with the agreement of the Chair**

There were no urgent decisions made within the period 23 November 2009 to 18 December 2009.

65. **TRACKING RESOLUTIONS**

Members considered and approved a schedule of tracking resolutions.

66. **LOCAL STRATEGIC PARTNERSHIP / OVERVIEW AND SCRUTINY PANELS UPDATES**

(a) To receive updates from each Panel on ongoing / completed work with the related LSP Theme Groups

There were no updates on this occasion.

(b) To agree further meetings / discussions with the LSP Theme Groups

The Board were informed that the planned Breakfast Meeting for the 20 January 2010 had been cancelled due to diary conflicts. The board was assured that a meeting would be arranged as soon as practicable.

67. **PERFORMANCE MONITORING**

(a) To receive updates from Panels on actions / progress on performance issues previously identified

There were no progress updates on performance issues previously identified.

(b) To monitor performance against the Scrutiny Improvement Plan / development of Protocols

The Head of Policy, Performance and Partnerships and the Chief Executive of NHS Plymouth introduced draft Scrutiny and LSP Theme Group inter-working protocols. It was reported that -

- (i) the purpose of the document was to bring more clarity to the governance arrangements of both the scrutiny function and LSP theme groups and how they interrelate;
- (ii) LSP theme groups have been working to implement recommendations from the review of the 2008 LSP review;
- (iii) background was work being carried out in LSP theme groups to translate outcomes into delivery plans identifying timescales and responsibility for actions;
- (iv) the protocols were a first draft and the purpose of bringing it to the meeting was to receive the Board's feedback.

In response to questions from the Board it was reported that –

- (v) Workshops had been run in each Theme Group to clarify outcomes and there was work being carried out to devise delivery plans which would define a time frame and responsibilities for actions. This work was incomplete;
- (vi) Children's Services does not feature strongly within any theme group.

Resolved that -

- (1) the recommendations included in the action plan be amended and agreed as follows -
 - (a) O & S Panels review the delivery plans proposed by Theme Groups;
 - (b) O & S Panels use the delivery plans to provide a framework for the scrutiny of LSP theme groups;
 - (c) O & S Panels use the diagrams within the protocols to ensure that the right parties are held to account;
 - (d) scrutiny chairs and theme group chairs will set up quarterly one-to-one working sessions to align agendas going forward and discuss experience from the last quarter's work;

- (e) scrutiny lead officers and theme group coordinators will set up monthly one-to-one working sessions to maintain forward plan alignment;
 - (f) opportunities for joint training will be identified by Senior Democratic Support officer (Scrutiny) and the LSP Manager, these roles to also be responsible for inviting the relevant parties to the training.
 - (g) scrutiny panel chair and theme group chair will reconsider the alignment of Children's Services Scrutiny Panel within the Wise Theme Group
- (2) scrutiny chairs and theme group chairs will continue to work closely together and take an overview role in the development of theme group delivery plans.

68. **WORK PROGRAMMES**

(a) To consider and approve work programmes for each of the Panels

The board approved each Panel's work plan.

(b) To consider and approve additions to work programmes

The addition of Building Schools for the Future to the Children and Young Peoples work plan was approved.

(c) To receive new items from the Forward Plan for 1 December 2009 to 31 March 2010 with a view to identifying items for scrutiny

New items from the forward plan were submitted for Member's consideration with a view to identifying items for scrutiny.

Members did not identify any forward plan items for scrutiny but commented that scrutiny was not considered as a consultee and that scrutiny should be included in all future forward plan items.

(d) To agree Project Initiation Documents / Task and Finish Groups

There were no PIDs or Task and Finish Groups to approve.

(e) To receive updates on Task and Finish Groups

There were no updates on Task and Finish Groups.

69. **RECOMMENDATIONS FROM PANELS**

(a) To receive and consider recommendations from Panels for O & S Management Board, Cabinet or Council

Resolved

- (1) to approve the recommendation from the Children and Young Peoples overview and Scrutiny Panel to for a joint task and finish group with Customer and Communities Overview and Scrutiny panel to consider facilities for disabled people in the City with input from the Adult Health and Social Care Overview and Scrutiny Panel;
- (2) that the Growth and Prosperity panel reconsider their recommendation to better reflect all information received at both panel meetings in which worklessness was considered, and to highlight the Council's role as a City wide leader on the worklessness agenda.

(b) To monitor actions against recommendations made to Cabinet / Council

There were no actions to monitor against recommendations to Cabinet or Council.

70. **COMMUNICATIONS**

(a) To receive reports of any press coverage

The Head of Policy Performance and Partnerships highlighted the recent positive press coverage regarding the Comprehensive Area Assessment.

(b) To consider any communication plans

There were no communication plans to consider.

71. **EXEMPT BUSINESS**

There were no items of exempt business.

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OVERVIEW AND SCRUTINY MANAGEMENT BOARD

3 FEBRUARY 2010

REPORT OF THE ASSISTANT CHIEF EXECUTIVE ON ACTION TAKEN BY THE CHAIR/VICE-CHAIR OF THE OVERVIEW AND SCRUTINY MANAGEMENT BOARD OR PANEL CHAIR

The following decisions taken by Cabinet Members individually and collectively from 21 December 2009 to 22 January 2010 have been agreed as urgent in accordance with Special Urgency rules as set out in Paragraph 16, Part 6 of the Constitution.

CABINET

DATE	MIN. NO.	NAME OF MATTER	DECISION	REASON FOR URGENCY	PART I or II (background papers)
19.1.10	106 and 108	Life Centre Construction Contract Award	Minute 106 (Part 2) The reports are noted. Minute 108 (Part 1) The contract for the construction of the Life Centre building is awarded to Balfour Beatty.	The decision is regarded as urgent on the basis that some of the funding requires expenditure by 31 March 2010. Commencement of the contract at the earliest opportunity is critical in ensuring that this grant is able to be utilised and is not lost.	1 and 2.

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OVERVIEW AND SCRUTINY MANAGEMENT BOARD

TRACKING RESOLUTIONS

Bold target date = outstanding by more than 2 months

Grey = Completed

OVERVIEW AND SCRUTINY COMMISSION RESOLUTIONS

2008/09

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
153 (1) Deputy Leader and Chief Executive	The outcome of discussions at Cabinet regarding consideration of including a basic commercial operation in the Life Centre project be provided to Commission in due course.	7.5.09	Democratic Support Staff / Chief Executive	Will present after appointment of contractor. 'Life Centre and related projects including outsourcing management' on Customers & Communities OSP work programme for 23.11.09. To be considered at additional meeting in January. See O & S Management Board minute 58 (a) (1) 09/10. Considered by Customers & Communities OSP 13.1.10, recommendations to Cabinet 9.2.10. Completed.	2.12.09	

2009/2010

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
33 (1) Governance and Accountability of Local Strategic Partnership	The above comments be referred to Cabinet for consideration (see minute 33(i)-(xiv), attached to this schedule).	2.7.09	Head of Corporate Planning	Management Board received update on 5.8.09 that LSP Executive had considered the draft governance arrangements, and scrutiny arrangements were to be added to that, Cabinet had not yet considered this, report possibly next Management Board meeting. Management Board received update on 7.10.09 that LSP Board wished to consult those affected and had deferred the item, to be considered before December.	14.7.09 2.12.09	Not yet ready (2.9.09)

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
37 (5)	With regard to Health & Wellbeing OSP minute 87 resolutions (1), it be agreed that the Democratic and Member Support Manager be requested to set up presentations for all Members and LSP theme groups. (A presentation on – <ul style="list-style-type: none"> • how the Care Quality Commission would operate • what the change of inspectorate would mean for the service • how the changes would feed into the Comprehensive Area Agreement) 	2.7.09	DMS Manager	Management Board received update on 7.10.09 that the presentation for all Members and LSP theme groups on the Care Quality Commission would be delayed until the outcome of the recent Adult Social Care inspection was known.	5.8.09 2.12.09	

Resolutions of Overview and Scrutiny Management Board 2009/10

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
29a (3)	Discussions regarding the report format/content be held by Members at a future time	7.10.09	Board Members			
29b (2)	The Head of Performance, Policy & Partnerships be requested to consider how to ensure that Members are properly informed about external inspection findings in order to properly enable the setting of CIPs.	7.10.09	Head of Policy, Performance & Partnerships	Advice required in time for O & S Panel meetings to be held in November.	2.12.09	
31 (2)	Regarding R & P OSP minute 112 (4) (08/09), the Head of Policy, Performance & Partnerships be requested to discuss the proposed restructure of policy and performance personnel within the Services for Children and Young People's department with the Chair of the Children & Young People's OSP.	7.10.09	Head of Policy, Performance & Partnerships	By next meeting of the Children & Young People OSP.	19.11.09	
32c new items from the Forward Plan 1.10.09-31.1.10 with a view to identifying items for scrutiny	The Children & Young People's OSP be requested to consider the Forward Plan item no. FP 31 09/10, 'Building Schools for the Future – Governance'.	7.10.09	Democratic Support Staff	To be considered at Children & Young People OSP March meeting.	19.11.09 25.3.10	
34 (2) to receive and consider recs from Panels for Management Board, Cabinet or Council	With regard to (xi), first bullet point (see minute 34), the Head of Policy, Performance & Partnerships be requested to provide a report on 'Councillor Call for Action' and report to the next meeting of the Customers & Communities OSP the date by which this will be available	7.10.09	Head of Policy, Performance & Partnerships	Next Customers & Communities OSP business meeting to be held on 23 November. Due to be considered at Customers & Communities on 25.1.09.	23.11.09	

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
43 (c) New items from the Forward Plan for 1.11.09-28.2.10 with a view to identifying items for scrutiny	The Chair of Growth & Prosperity OSP discuss with the Deputy Leader and Cabinet Member for Planning Strategic Housing & Economic Development the reason why the G & P OSP had not been included in the list of persons to be consulted with in respect of LDF: Annual Review of Planning Obligations and Affordable Housing Supplementary Planning Document.	4.11.09	Cllr Viney		2.12.09	
46 (a) (2)	With regard to Customers & Communities OSP minute 19 'update on tackling anti-social behaviour strategy including the Councillor Call for Action' the Ass. Director for Safer Communities, who was also the lead officer for the Panel, be requested to liaise with the Acting Chief Supt, Devon & Cornwall Constabulary, with a view to achieving the resources needed for – (a) research on national indicators 17 and 21; (b) mapping of intergenerational work within the city and further resources to enhance its deliver.	4.11.09	Peter Aley		23.11.09	
46 (a) (4)	With regard to Safer & Stronger OSP minute 5, 'terms of reference', resolution (1), the Head of Policy, Performance & Partnerships be requested to meet with the Panel Chairs and Lead Officers to identify (i) specific CIPs relevant to individual Panels and (ii) which cross-cutting CIPs were relevant to Panel(s), in time to meet deadlines for the November City Council agenda dispatch.	4.11.09	Head of Policy, Performance & Partnerships/ Democratic Support Staff	All Panel Chairs and Lead Officers consulted. Further consideration by Management Board required before amended terms of reference submitted to City Council.	6.1.10	
50 CUB Budget scrutiny arrangements	The Head of Policy, Performance & Partnerships be requested to investigate the possibility of reciprocal budget scrutiny arrangements with a similar Local Authority.	2.12.09	Head of Policy, Performance & Partnerships	IDeA officer peer to attend 3 February a.m. workshop for budget scrutiny, and possibly another planning meeting which is intended to be arranged for 9 February.	6.1.10	
53 (a) (1) Work Programmes – to receive new items from the Forward Plan for 1.12.09-31.3.10	'Contract award for the supply of temporary staff' FP 39 be considered by Support Services OSP at their next meeting.	2.12.09	Democratic Support staff		21.1.10	
53 (a) (2)	'Information, advice and guidance service for young people' FP 40 be added to the work programme of Children & Young People's OSP.	2.12.09	Democratic Support staff	On Children & Young People's OSP agenda for 28.1.10. Completed.	28.1.10	

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
53 (b) To agree PIDs/ Task & Finish Groups	The Area Committee's minute in respect of driving speeds at the Hoe be forwarded to the Growth & Prosperity OSP for consideration and, if it was agreed to take the matter further, a PID should be drawn up by the Panel in conjunction with the Chair and Vice Chair of the Area Committee.	2.12.09	Democratic Support staff		25.1.10	
55 (b) (1) To receive information regarding LAA Performance	The Customers & Communities OSP invite the Chair of the Safe & Strong Theme Group to its next meeting with a view to setting up a joint task and finish group in respect of NI 192 'recycling levels'.	2.12.09	Democratic Support staff		25.1.10	
55 (b) (2)	The following items be included on the agenda for the Theme Group and Scrutiny Chairs' meeting on 20.1.10 – <ul style="list-style-type: none"> LAA targets; Protocols. 	2.12.09	Head of Policy, Performance & Partnerships/ Democratic Support staff	Chairs' meeting for 20.1.10 cancelled. Completed.	11.1.10	
57 (a) quarterly reports from each Panel and Management Board	The Head of Performance, Policy & Partnerships prepare a covering report and that this, together with all the quarterly reports, be forwarded to all O & S Management Board Members for feedback which required to be returned to him by Christmas to meet deadlines for the Cabinet meeting in January 2010.	2.12.09	Head of Policy, Performance & Partnerships/ Members	Report submitted to Cabinet 19.1.10. Completed.	24.12.09	
58 (a) (1) Recs from Panels	Regarding Customers & Communities OSP minute 37, rec (3), 'Life Centre and Related Leisure Projects – update and to agree future scrutiny arrangements', authority to approve the Panel's recs in respect of the Life Centre be delegated to the Head of Policy, Performance & Partnerships in consultation with the Chair of the O & S Management Board	2.12.09	Democratic Support staff	Customers & Communities to have extra meeting in January. To meet deadlines for Cabinet.. Completed.	19.1.10	
58 (a) (2)	Regarding Customers & Communities OSP minute 39, 'CIPs', the Head of Policy, Performance & Partnerships be requested to take forward the issue of finance and performance reporting to Panels with the Panel Lead Officers.	2.12.09	Head of Policy, Performance & Partnerships		6.1.10	

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
63 Leader & Chief Executive	The following documents be circulated to Members of the Board: (a) Copy of new Corporate Management Team diagram (b) CAA report (c) Life Centre programme (d) Care Quality Commission Annual Performance Assessment (Adult Social Care Services)	6.1.10	Democratic Support Staff		3.2.10	
67 (b) (1) Performance Monitoring: Monitor performance against the Scrutiny Improvement Plan / development of protocols	The following recommendations included in the action plan be agreed – (i) O & S Panels review the delivery plans proposed by Theme Groups; (ii) O & S Panels use the delivery plans to provide a framework for the scrutiny of LSP theme groups; (iii) O & S Panels use the diagrams within the protocols to ensure that the right parties are held to account; (iv) scrutiny chairs and theme group chairs will set up quarterly one to one working sessions to align agendas going forward and discuss experience from the last quarter's work; (v) scrutiny lead officers and theme group co-ordinators will set up monthly one to one working sessions to maintain forward plan alignment; (vi) opportunities for joint training will be identified by Senior Democratic Support Officer (Scrutiny) and the LSP Manager, these roles to also be responsible for inviting the relevant parties to the training; (vii) scrutiny chair and theme group chair will reconsider the alignment of Children's Services O & Panel within the Wise Theme Group.	6.1.10	Peter Honeywell			
67 (b) (2)	O & S Panel Chairs and Theme Group Chairs will continue to work closely together and take an overview role in the development of theme group delivery plans.	6.1.10	Peter Honeywell / Democratic Support Staff			
69 (a) (1) Recs from Panels: recs from Panels for O & S Management Board, Cabinet or Council	To approve the recommendation from the Children and Young People's OSP for a joint task and finish group with Customers & Communities OSP to consider facilities for disabled people in the city, with input from the Adult Health & Social Care OSP.	6.1.10	Democratic Support Staff	PID to be prepared.		

Minute number	Resolution	Date agreed	Action by	Progress	Target date	Comments
69 (a) (2)	<p>The Growth & Prosperity OSP reconsider their recommendation to better reflect all information received at both panel meetings in which worklessness was considered, and to highlight the Council's role as a city-wide leader on the worklessness agenda.</p> <p>Recommendation submitted was –</p> <p>a) Tackling Worklessness is essential to the City meeting the objectives set out in the Local Economic Strategy, Sustainable Community Strategy, Children & Young People's Plan as well as a range of other targets. Whilst it is recognised that Worklessness impacts in many aspects of the Council and partnership's work e.g. health, learning etc ultimately it demands a lead service area and can best be addressed from a Strategic Economic Development perspective</p> <p>Recommendation: That the Department for Development & Regeneration take on the ownership and leadership of the Worklessness agenda on behalf of the Council and that links across Plymouth City Council are accordingly established to a) ensure the councils role is clearly understood and b) that from an Economic Development perspective that skills development aligns with the economic drivers of the city and key sectors</p>	6.1.10	Democratic Support Staff	<p>Additional recommendations by Growth & Prosperity OSP –</p> <p>b) The present framework with central and local government and the third sector is too complicated. The emerging consensus in national policy, endorsed by Overview & Scrutiny is that operationally Worklessness is best led by the Local Authority, under the strategic direction of the LSP;</p> <p>Recommendation: that Plymouth City Council take on a leadership role</p> <p>c) The Children & Young People OSP will be aware of the Skills and NEETS agenda. The Growth & Prosperity OSP recommends that PCC reviews its Recruitment policies to become an exemplar employing body, by providing greater employment/work opportunities for disengaged groups eg young people, care leavers, young offenders and the longer term unemployed</p> <p>Recommendation: That HR work with Assistant Director Economic Dev and his team to develop a strategy for Plymouth City Council recruitment under the Worklessness agenda and that CMT endorse this.</p>		

33. GOVERNANCE AND ACCOUNTABILITY OF LOCAL STRATEGIC PARTNERSHIP

The Head of Corporate Planning submitted a report outlining the Council's governance arrangements for the Local Strategic Partnership (LSP), together with the draft Plymouth 2020 LSP 'How we will operate' document.

The Cabinet Member for Customer Services, Performance and Partnerships and the Head of Corporate Planning advised Members that –

- the Council would be judged with partners and it was important to have an effective LSP;
- the Council must hold the LSP to account and the new scrutiny structure was aligned and supporting that aim;
- the project was starting from a reasonable position, with decision making clear, risk management in place and partners keen to continue to improve and to implement the proposals of the LSP Review.

Members raised the following points –

- (i) there were concerns that not all partners were fully committed to the partnership ethos, e.g. the Hospital Trust, and more work was needed to ensure partners were working together;
- (ii) so far, only one meeting between Overview and Scrutiny Panel (OSP) and Theme Group chairs had taken place and more consultation between OSP and LSP was required to agree protocols;
- (iii) partners needed to recognise the democratic legitimacy of the Council's role;
- (iv) OSPs had the powers to scrutinize partners performance and a greater understanding of the potential role of scrutiny and commitment to working constructively was required;
- (v) scrutiny powers in relation to Local Area Agreement (LAA) should be recognised as a tool for performance management;
- (vi) more clarity regarding reviewing what is achieved by the LAA was required, ensuring that there was no duplication between LSP and scrutiny;
- (vii) clear national guidance was required regarding links between overview and scrutiny and partners which added value to the delivery of the LAA;
- (viii) finding new ways to tackling complex problems reflected in the LAA, e.g. teenage pregnancies, was essential;
- (ix) more meetings and sharing of information, e.g. exchanging minutes between OSP and theme groups, was required, but co-options of theme group chairs to OSPs could cause a conflict of interest.

In response, Members were advised that –

- (x) information on the performance of the LAA was included in the bi-monthly finance and performance report;
- (xi) the LSP recognised that commitment to partnership became weaker further down the organisation and was aiming to address this with a recommendation to strengthen communication, by signing up to protocols and by putting in place a performance management framework;
- (xii) the Audit Commission had issued guidance in a national report providing good comparative context and an indication of what they would assess, e.g. governance, sharing resources, shared consultation;
- (xiii) co-options to scrutiny were for the Management Board to approve and relationship issues would be addressed through the Scrutiny Handbook;
- (xiv) a 'breakfast' meeting had been arranged for 16 July 2009 and an agenda would be issued shortly.

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CITY OF PLYMOUTH

Subject: Joint Finance and Performance Report
Committee: Cabinet
Date: 19 January 2010
Cabinet Member: Councillor Bowyer and Councillor Ricketts
CMT Member: CMT
Author: Sandra Wilson (Assistant Head of Finance), Patrick Hartop (Policy and Performance Officer)
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Ref: SW
Part: I

Executive Summary:

This report outlines the performance monitoring and finance position of the Council as at the end of November 2009.

The primary purpose of this report is to report on how the Council is delivering against its corporate improvement priorities and key performance measures using its capital and revenue resources. It is deliberately strategic in focussing on key areas of performance, spend and risk, and includes under each Departmental Business section a one page scorecard, incorporating a summary of progress against the relevant Corporate Improvement Priorities and financial performance. This report also includes an update on the progress of the Local Area Agreement LAA stretch targets.

At this stage, the Council is forecasting a revenue overspend at year end of £3.081m against a net revenue budget of £196.525m (a variation of +1.6%). The main variations continue to relate to the increased pressure in children looked after cases as well as cost pressures in adult social care and loss of income due to the economic climate. Actions to address the pressures are outlined within the report.

The report also outlines the latest position on the capital programme. Our overall assessment of year end spend is £88.7m which remains affordable. Actual spend on the capital programme as at end of November 2009 is £50.463m.

Into the medium and longer term the Council is facing significant pressures due to the national economic situation, the Local Government funding settlement and local demand led pressures.

Corporate Plan 2009-2012:

This bi-monthly report is fundamentally linked to delivering the corporate improvement priorities within the Council's Corporate Plan.

**Implications for Medium Term Financial Plan and Resource Implications:
Including finance, human, IT and land**

Resource implications are referred to throughout the report and an update on the implications for the medium term for both revenue and capital is included within the report. It is intended that the Medium Term Financial Forecast will be updated regularly throughout the year to take account of the variances and pressures identified through this reporting.

Other Implications: e.g. Section 17 Community Safety, Health and Safety, Risk Management, Equalities Impact Assessment, etc.

Recommendations & Reasons for recommended action:

A number of recommendations have been made throughout the report in response to specific monitoring information. The recommendations are:

1. Cabinet note the forecast overspend on the General Fund Revenue Budget and the actions being taken by Directors to reduce the overspend by year end.
2. Cabinet note the repayment of a second dividend in respect of monies invested in Heritable Bank bringing amounts now recovered, including interest, to £907,594.
3. The movement on the capital programme during the period be noted and the inclusion of new schemes totalling £0.745m, outlined paragraph 6.8 and scheme variations totalling £0.211m, outlined in paragraph 6.10 be added to the programme for 2009/10.

Alternative options considered and reasons for recommended action:

Actions are recommended in response to specific variances in either performance and / or finances as identified throughout the report.

Background papers:

- Plymouth City Council Corporate Plan 2009-12 (including 14x Corporate Improvement Priorities)
- 2009/10 Budget Papers – presented to Full Council 2 March 2009
- Plymouth City Council Medium Term Financial Strategy – revised March 2009
- Audit Commission Use of Resources Key Lines of Enquiry (available on the audit commission website)
- Joint Finance and Performance report to Cabinet 14 July 2009, 15 September 2009 and 10 November 2009

Sign off:

Fin	AB Corpfin 900010	Leg	DVS 1089	HR		AM		IT		Strat Proc	
Originating SMT Member: Malcolm Coe, Assistant Director – Finance, Assets & Efficiency											

**Plymouth City Council
Performance and Finance Monitoring – 2009/10
Figures up to and including 30 November 2009**

1. Introduction

- 1.1 This report reviews the Council's performance for the period 1 April 2009 to 30 November 2009 and is written in the context of both the Medium Term Financial Strategy and the Use of Resources Assessment. The Budget report for 2009/10 (Appendix A budget robustness) outlined a number of financial risks that the Council potentially faced over the short to medium term. It is appropriate the bi-monthly reporting should continue to review risks and give consideration to future levels of the working balance and reserves.
- 1.2 The detailed budget exercise for 2010/11 is now well underway. As part of this exercise the Council is reviewing its corporate priorities together with known budget pressures, including those outlined within this report. In order to ensure a balanced budget can be set Directors will be required to produce detailed action plans to achieve target budgets which will be subject to review and scrutiny as part of the normal budget process. This will take place over the next few months, culminating in a final budget report to Cabinet on 9 February 2010.
- 1.3 The Council completed its transfer of the housing stock to Plymouth Community Homes (PCH) on 20 November 2009. An update on the transfer implications, both financial and other issues such as land transfers, has been included within the Housing Revenue Account (HRA) section of the report.
- 1.4 Progress against the Local Area Agreement targets is reported to the Local Strategic Partnership (LSP) Executive Board and LSP individual theme groups. However it is also appropriate that these should be regularly reported to Cabinet as the Local Authority remains the accountable body for the formal reporting of the performance against the stretch targets and will be the accountable body for any resulting reward grant. The LAA performance reports are presented to the LSP executive on a quarterly basis. This report therefore includes the summary position as at the end of September 2009, as presented to the LSP Executive on 25 November 2009.
- 1.5 This bi-monthly report therefore includes the following information and Appendices:

Section A – Executive Summary

- Performance Position
- Revenue Position
- Income Summary
- Medium Term Financial Forecast – Revenue
- Capital Programme 2009/10
- Medium Term Capital Programme

Section B – Directorate reports

- Children’s Services
- Community Services
- Development, including trading accounts
- Corporate Support
- Chief Executive
- Corporate items

Section C - Housing Revenue Account and Stock Transfer Update

Section D - Treasury Management

Section E – LAA Progress Report

Section F – Concluding Remarks









Appendices

- Appendix A – Revenue expenditure variation in graphical form
- Appendix B – Summary Capital Expenditure and Financing
- Appendix C - LAA Stretch Targets

SECTION A – EXECUTIVE SUMMARY

2. Performance Position

- 2.1 A performance and programme summary is provided for each Corporate Improvement Priority (CIP) in a scorecard format along with budget variances for each Department. As with the previous year a small number of key indicators and milestones will be the focus of respective reports. However, where emerging issues arise these need to be fed into successive reports when required. The narrative within the scorecard is intended to provide a high level overview of each CIP with a focus on explaining corrective action where required. Detailed information will continue to be reported on eperform, our performance management system. The scorecards use a RAG (red, amber, green) traffic light system to indicate current performance. The table below summarizes the RAG rating rules.

Milestones – lowest performing milestone within a CIP			
	All milestones on schedule		A minimum of one milestone is reported as a risk
	a minimum of one milestone is reported as an issue		No information to report
Measures – an average of all measures that sit within this CIP			
	Indicators on track		the AVERAGE of all indicators is up to 15% off target
	The AVERAGE of all indicators is more than 15% off target		No information to report

Key performance and programme messages emerging from the departmental scorecards include:

2.2 CIP 3: Helping people to live independently

The LAA targets aimed at supporting people to live independently and to have choices in the services they are offered continue to perform strongly.

The budget continues to be under pressure due to increased demand and the service improvement agenda to support more people to live independently. There is a comprehensive management action plan to reduce the projected overspend which will be reviewed regularly.

2.3 CIP 4: Reducing inequalities between communities

Benefit and tax credit take-up is exceeding the annual target with £3.2m realised in the first six months of the year.

2.4 CIP 5: Providing better and more affordable homes

The target for delivering 236 affordable homes remains on track for the year

2.5 CIP 7: Keeping Children Safe

The number of looked after children remains high which has put pressure on both the budget and performance. New work practices have been put in place to tackle the extra workload. This has resulted in a positive reduction in the number of placements for children in foster care.

2.6 CIP 8: Improving skills and educational achievements

NEET's (Young People not in Education Employment or Training) performance has improved during this period. A multi-agency steering group has been set up so that work can be driven in a coordinated fashion across a wider partnership and progress can be measured.

2.7 CIP 9: Developing high quality places to learn in

An announcement was made on 30 November 2009 that Plymouth's initial project is now in the national Building Schools for the Future (BSF) Programme. The funding of £80m will allow significant investments to be made into secondary school provision including a new school for the south west of the city.

2.8 CIP 10: Disposing of waste and Increasing recycling

Performance in refuse collection continues to improve with the number of missed refuse and recycling collections the lowest since rezoning and below the target of 75 missed bins per day. The recycling target has under performed in this period and is now unlikely to meet the end of year target. Initiatives to increase the City's recycling rate now include: Improvements to Chelson Meadow recycling centre, expansion of garden waste collection scheme, Improvements to the materials recycling facility (MRF). The Safe and Strong group are providing support in increasing the City's recycling rate and further initiatives are planned for 2010.

2.9 CIP 13: Supporting staff to perform better

Over 90% of role profiles have now been completed and all managers have been trained to use the new competency framework.

2.10 CIP 14: Providing better value for money

The Value for Money target for this year is particularly challenging and will require significant corporate support to achieve target. Roles and Responsibilities have been drafted with the Director for Corporate Support championing the efficiency agenda at Corporate Management Team. Work has begun to raise the profile of the efficiency return with a view to getting back on track by the end of 2009/10.

3. Revenue Position

3.1 The following table sets out the forecast for the Council's overall revenue position as at 30 November 2009.

Table 1

Fund	Latest Approved Budget £'000	Monitoring Variation September 2009 £'000	Monitoring Variation November 2009 £'000	Change in Period £'000
General Fund	196,525	2,341	3,081	740
Trading Accounts	(2,308)	314	316	2
HRA*	66	(4)	(4)	0

* surplus/deficit for year, not updated for November
NB Brackets () reflect a favourable variation

- 3.2 The trading accounts are reported within the relevant Directorate reports in section B, and the HRA under Section C.

General Fund

- 3.3 Table 2 summarises the monitoring position for each Directorate for the period up to 30 November 2009. Appendix A tracks the movement during the year in graphical form.

Table 2

Department	Latest Approved Budget £'000	Monitoring Variation as at 30 November 09 £'000	Percentage variation %	Change in Period £'000
Children's Services	52,222	1,179	2.3	(39)
Community Services	102,489	1,483	1.4	562
Development & Regeneration Services	16,685	623	3.7	112
Corporate Support	35,872	(27)	(0.01)	105
Chief Executive	2,762	(24)	(0.9)	0
Corporate Items	(13,505)	(153)	1.1	0
Total	196,524	3,081	1.6	740

- 3.4 The budget variation targets of no more than 1% overspend or 2% underspend remain in place for 2009/10. The summary table shows that the monitoring position has deteriorated further during the period and that an overspend of £3.081m, or 1.6% when compared to net revenue budget, is now forecast for the year. In terms of financial management, the budget variation target continues to be breached in 3 Departments. The main reasons for the variations are summarised below with more details outlined in the individual Director's reports.
- 3.5 Children's Services: forecast overspend of £1.179m

The above overspend is mainly due to the non-achievement of the £1.162m Action Plan within Children's Social Care, although there are various savings and overspends within the department which produce a broadly neutral position in other areas.

There has been a modest improvement of £0.039m in the forecast overspend since the last reporting period and Action Plans are currently being shaped in the following areas to mitigate against the potential overspend at the year end:

- The home to school transport policy is undergoing a review
- The Integrated Disability Service direct payments is being reviewed in conjunction with wraparound packages of care and independent sector placements for children
- General efficiencies including ongoing review of agency staff and temporary staffing arrangements, stationery and conferences are being put into place.

Indications are that whilst management action will continue to be taken to minimise the forecast overspend during the year, national and local high profile media cases will increase the pressures on the service. In addition, officers are currently assessing the potential implications of the Southwark judgement which relates to the new responsibilities for 16/17 year olds where previously short term help, such as housing support, was provided but now local authorities will be required to take them into the care system and provide additional services until the age of 25. This will not only have a financial implication, but will affect the numbers of looked after children and the stability of placements.

3.6 Community Services: forecast overspend £1.483m

The overall Community Services position is the result of a net increase in Adult Social Care of £2.000m and Culture Sport and Leisure of £0.054m costs offset by net savings within Environmental Services (£0.066m), Safer Communities (£0.015m) and the departments Service Strategy and Regulation Service (Business Support) (£0.490m). The change in the period is due to an increase in Adult Social Care costs offset by savings within Business Support.

Adult Social Care

The throughput clients and complexity of clients needs have continued to increase steadily during the year across a range of client groups resulting in additional pressures of approximately £3.200m. At the same time the transformation of the service and actions to address known cost pressures has taken longer than planned or produced lower than expected savings. Additional review panel efforts to reconsider clients needs have been successful in limiting new and future spend but not significantly reducing existing spend. The main cost pressures are:

Increased Costs

- Community Based Services, showing a year end forecast of £1.800m more than budget with increased direct payments for a range of clients and increased supported living (mainly for Learning Disabilities).
- Learning Disability showing a year end forecast of +£0.567m.
- Intermediate care showing a year end forecast of +£0.359m.
- Occupational Therapy showing a year end forecast of +£0.343m.

Delivery Plans delayed or achieving mixed results

- Delayed reprovision of short-term respite care services for older people and Learning Disability resulting in a slippage of savings £0.560m.
- Reduction in direct payments £2.200m.
- Savings arising from the implementation of Carefirst £0.450m.

Adult Social Care performance has significantly improved in the last year. At the same time the service continues to address a range of legislative and other agendas that are driving wider transformation. A programme board, chaired by the Director, is to be established to ensure transformation, performance, improved client outcomes, alignment with partners, cost reduction and financial risks are all managed and co-ordinated effectively.

Business Support

The department's Business Support section has been taking action to contain spend in order to set aside resources to address departmental cost pressures, initially carefirst costs. The (£0.490m) is the result of holding vacancies and limiting the use of the training budget and other costs such as consultancy and travel related expenses.

3.7 Development & Regeneration: overspend £0.623m

Previous reports identified pressures for reduced rental income and car parking. The position has worsened by £0.113m since that last reported. This is a result of further reductions in property rental income of £0.213m offset by various efficiency savings of (£0.100m).

It is expected that the recently upgraded Theatre Royal car park pay on foot scheme will generate additional income but it is too early to estimate at the current time.

It should also be noted that recent indications are that the authority will receive a further £0.374m additional Housing and Planning Delivery grant. Formal confirmation of the terms is still awaited but based on previous criteria approximately a third of this sum equating to £0.124m will be applied to capital works with the balance of £0.250m remaining for revenue. This has not yet been reflected in the forecast, but if confirmed will be utilised to reduce the forecast overspend.

3.8 Corporate Support : forecast underspend (£0.027m)

Recruitment to the key management posts has now been successfully completed and the new staff are now in post. This will enable the remaining structure to be finalised and the necessary efficiencies to be achieved over the longer term.

However, the delay in implementing the new departmental management structure, together with the associated costs of recruitment, has resulted in a reduction of £0.105m to the favourable variation reported in September.

The Directorate will continue to manage its resources in the current year by a combination of robust vacancy management, minimising the use of temporary staff and freezing non essential expenditure.

3.9 Although Departments will continue to strive to reduce the overspend now forecast, the approved financial tolerance limits do allow for an overspend of up to +1% net revenue budget, or £1.965m. The current carry forward policy assumes all overspends will be carried forward unless Cabinet determines otherwise.

- 3.10 Any overspend at the end of the year would need to be met from the Working Balance, which could potentially reduce the balance to below the recommended level and/or lead to an adverse Use of Resources Score.

Recommendations:

1. Cabinet note the forecast overspend on the General Fund Revenue Budget and the actions being taken by Directors to reduce the overspend by year end.

3.11 **Icelandic bank Update**

3.11.1 As per previous reports, this section has been included to outline the current situation with regard to the recovery of monies invested in Icelandic banks

3.11.2 The last report outlined the deadlines for submission of the formal claims to the creditor committees of the 2 Icelandic banks. These claims have now been submitted, although at this stage initial feedback shows that the winding up Boards for each have chosen to treat the claims differently as outlined below:

Glitnir £6m

The winding up board for Glitnir have rejected the claim that local authority deposits should have priority creditor status. As a result the recovery could be as low as 31% (previously forecast as 100%). The local authority solicitors, Bevan Britton will be appealing this decision on behalf of all local authorities and remain hopeful that full recovery will still be attained.

Landsbanki £4m

The winding up board for Landsbanki have agreed to the priority creditor status for local authorities but have received a number of objections to this decision from other creditors which will likely result in a delay in moving forward as these are resolved. The board has also rejected the local authority claims for penalty interest. Final recovery is anticipated at 88% (previous report stated 83%).

Heritable Bank £3m

Heritable Bank recovery is being dealt with under English insolvency law and is progressing as anticipated. A second dividend of 12.65p was received in December totalling £399,146.56, including interest. This brings the amount recovered to date, including interest, to £907,594. Final recovery is still anticipated at 80%.

3.11.3 As part of closure of the accounts 2008/09 a sum of £0.281m was transferred to reserve to meet the costs of any losses from these investments, and approval has also been given to transfer any interest payments received.

3.11.4 The Council has been permitted to defer charging any losses to its revenue account until 2010/11 under special regulations and has requested that these regulations should be extended for at least a further year given the uncertainties surrounding recoveries at the present time. However in the meantime, Officers have submitted a request to CLG for a Capitalisation Direction which would enable any losses to be spread over a ten to twenty year period. The outcome of the Capitalisation Direction request will not be known until the end of January 2010.

Recommendations:

2. Cabinet note the repayment of a second dividend in respect of monies invested in Heritable Bank bringing amounts now recovered, including interest, to £907,594.

4 Income Summary

Table 3

Type of debt	Actual % 2007/08	Actual % 2008/09	AE Quartile	Budgeted income 2009/10*	Target % 2009/10	Year end Projection 2009/10
Council Tax	92.5%	94.2%	4	£91.018m	96.0%	96.0%
NNDR	97.6%	96.7%	2	£79.666m	97.0%	97.0%
Housing Rents	97.3%	96.4%	4	£40.274m	98.5%	97.8%
Sundry Debt [^]	85.9%	86.9%	n/a	£60.000m#	92.5%	91.5%
<i>Commercial Rent (general fund)</i>	<i>n/a</i>	<i>80.8%</i>	<i>n/a</i>	<i>£5.000m#</i>	<i>92.5%</i>	<i>88.0%</i>
<i>Trade Waste</i>	<i>n/a</i>	<i>87.5%</i>	<i>n/a</i>	<i>£1.200m#</i>	<i>94.0%</i>	<i>94.0%</i>
<i>Adult Residential Care</i>	<i>n/a</i>	<i>90.3%</i>	<i>n/a</i>	<i>£9.000m#</i>	<i>93.0%</i>	<i>92.0%</i>

* At the start of the financial year. The total amount collectable can go up and down during the year

Sundry debt fluctuates during the year but figures shown are an average per annum for a rolling 12 month period

[^]All general fund sundry debt including the key areas (key areas are analysed and are shown in italics)

4.1 Local Taxation

Council Tax Collection – Forecast 96.0% v Target 96.0%

Performance for November fell slightly below target by 0.2% although the cumulative collection rate remains just above the target of 71.7% and represents an improvement against the same period last year. 13% fewer reminders and 17.5% fewer summonses have been sent compared to last year, although there has been an increase of 39% for those customers who are paying by arrangement, indicating that these customers need to be closely monitored.

NNDR - Forecast 96.9% v Target 97.0%

Collection during November was above the target, improving the cumulative collection rate, but slightly below the original target for in-year collection. We do expect to achieve the revised forecasted collection rate of 96.9% by the end of March 2010.

4.2 Housing Rents – Estimate 97.8% v Target 98.5%

Stock transfer applicable from 20 November 2009 and therefore the City Council is no longer responsible for Housing Rents. This income target will be removed from future reports.

4.3 Sundry Debt collection – Forecast 91.5% v Target 92.5%

The monthly collection rate for November increased to 91.6% with the year to date collection rate at November being 90.5% which is an increase of 3.6% against the period last year. As a result we are confident we will still achieve a year end collection rate of 91.5%

Adult residential and non residential care – Forecast 92.0% v Target 93.0%

Debt secured by legal charge has increased to 55% of total debt outstanding which means more debt will be recovered but only once clients assets are realised. The team has improved its financial assessment processes resulting in earlier billing which has contributed to consistent collection rates in spite of economic conditions. Further improvements are planned by working more closely with Social Care teams to reduce the late notification of clients care services commencing, which can also impact on prompt billing and recovery.

Commercial Rent – Forecast 88.0% v Target 92.5%

The current year collection rate demonstrates a 7% increase in the year to date figure of 87% compared to approx 80% last year. Figures for debt over 30 days shows a collection rate of approx 95%. As bills are sent in advance of the due date a concerted effort to target customers in the first 30 days and a continuing push to increase the direct debit take up will further improve the position.

Trade Waste – Forecast 94.0% v Target 94.0%

Performance at the end of November indicates a year end collection of 94.0%, in line with the target.

5. Medium Term Financial Forecast- Revenue

- 5.1 Work on setting the budget for 2010/11 is well underway. As part of this work the target budgets for departments are being reviewed and will be reported as part of the budget report in February 2010.
- 5.2 The Council has been informed that the formula grant for 2010/11 will be in line with indicative allocations of £106.022m which represents a 2.5% increase over 2009/10. Details are still awaited on a number of specific grant allocations, the final allocation for Area Based Grant and absolute clarity on the impact of the government efficiency targets.

- 5.3 2010/11 represents the final year of the 3 year settlement period. The pre budget report of 9 December announced that the next comprehensive spending review will now be delayed until after the election. However it is clear that there will be tight public expenditure constraints in future years and that Local Government will face the brunt of these, with actual grant cuts of between -2.5% up to -10% possible. It is therefore essential that we continue to plan properly for the long-term.
- 5.4 CMT are currently undertaking a detailed budget challenge exercise where Directors and their Departmental Management Teams have been requested to challenge themselves and the pressures they face and to identify options for VFM and budget savings. This challenge will continue into the new year.
- 5.5 Additional resources have already been notionally allocated to those 'pressures' which have been identified as a corporate priority during the year. The next step will be for Directors to identify actions to enable the overall budget to be brought within total resources available. Revised targets for the next three years will be issued to Directors following the completion of the budget challenge exercise. The pressures currently faced include:
- Growing numbers of looked after children
 - Waste Management
 - Housing Stock Transfer- Corporate impact/residual costs
 - Transformational Change
 - Equal pay claims
 - Redundancy costs
 - Shortfall capital receipts/short term borrowing costs
 - Strategy for change/BSF
 - Increase demand from a growing elderly population
 - Economic Climate/Support for local business
 - Insurance Fund/H&S issues
 - Icelandic Bank Losses.

6. Capital Position

2009/10 Overall Capital Position

- 6.1 The capital programme for 2009/10, as approved in the previous monitoring report, is £100.422m. This bi-monthly report outlines recommendations to decrease the in-year capital programme by £5.077m, which would reduce the programme to £95.345m. Actual spend as at 30 November 09 was £50.463m (52.9% of full year forecast).
- 6.2 The movement in the programme is summarised in table 4. Further details of the movements within the programme are outlined in Appendix B with more details provided within the individual Directorate reports.

- 6.3 Although the latest forecast spend for the year is £95.345m, as outlined in previous reports, based on historical trends it is highly unlikely that this level of programme delivery will be achievable by the end of the year. Following a high level review of the departmental programmes taking into account expenditure to date and the likelihood of expenditure being incurred as forecast for the final three months of the financial year based on known contractual commitments, it is considered that a more realistic estimate is a total spend of £88.7m, or 88% of the latest forecast.

Table 4

Department	Budget		Changes for Approval		Expenditure		Revised Forecast for year £000
	Restated Original Budget 2009/10 £000	Latest Appr'd Budget Sept 09 £000	Proposed Change in Period £000	Latest Forecast Nov 09 £000	Expend as at Nov 09 £000	Spend %'age of Forecast %	
Corporate Support	700	2,407	(6)	2,401	1,399	58.3%	2,200
Development	17,371	22,062	355	22,417	6,302	28.1%	19,000
Children's Services	52,057	56,663	(759)	55,904	33,872	60.6%	53,000
Community Services	14,858	11,299	(4,667)	6,632	2,286	34.5%	6,600
Corporate Items - HRA	7,222	7,991	0	7,991	6,604	82.6%	7,900
Total	92,208	100,422	(5,077)	95,345	50,463	52.9%	88,700

- 6.4 The movement on the programme during the period can be summarised as follows:

	£000
New schemes approved	745
Re-profiling/scheduling of schemes	(6,033)
Other Variations	<u>211</u>
Total variation in period	<u>(5,077)</u>

6.5 Capitalisation Directions

Officers submitted Capitalisation Direction applications totalling £20.8m to DCLG on 15 December 2009 as follows:

	£000
Pensions fund contribution (including redundancy strain)	9,000
Major projects	6,000
Potential Icelandic bank Losses	<u>5,800</u>
	<u>20,800</u>

These applications would need to be financed from capital receipts and/or unsupported borrowing. The outcome of these applications will not be known until the end of January, and have not currently been reflected within the figures above. Formal Cabinet approval for the use of the Capitalisation Directions will need to be sought once we are informed of which, if any, have been approved by DCLG on 31 January 2010.

6.6 The Capital Programme Board (CPB) is responsible for overseeing the Governance and monitoring of the Capital Programme. However, Officers are currently working on a new officer governance structure to prioritise and monitor capital investment to improve on the work done over the last few years by the Capital Programme Board (CPB). The new structure is considered necessary due to the current volatility of capital funding, to ensure funding opportunities are maximised and better co-ordinated, alongside maximum benefit realisation from projects. This is now being led by the recently appointed Head of Capital and Assets with the intention to bring a new governance structure proposal to the next Cabinet for consideration. Officers are currently piloting some of the proposals alongside the existing approved CPB to allow an initial review of how well the proposals work.

6.7 Officers of the CPB have considered new schemes brought forward in the period and are recommending that the following schemes now be included within the programme:

	£000
6.8	
	183
	18
	<u>544</u>
	<u>745</u>

All schemes are scheduled to be completed within 2009/10.

These schemes will be funded by grants and contributions (£0.727m) and section 106 contributions (£0.018m).

6.9 Project Officers continue to keep the programme under review and the main schemes re-profiled during this period are shown below. Further details of the reasons behind the reprofiling are outlined in the individual Directors reports.

	£000
Mill Ford Modernisation	(300)
Kitchen Projects: New Improved	(310)
Kitchen & Dining Facilities	(366)
Devonport People Park	(400)
Springhill - Play Facilities	(131)
Southernway Federation (Beechwood/Oakwood Schools)	(200)
Estover Campus / Hospital School	(226)
Efford Reorganisation (Highfield/Plymview)	1,491
Longcause - Specialist Sports Hall & SEN Provision	(285)
Central Park – Life Centre	(4,511)
All Children's Centres	(200)
14-19 Diploma Gateways - External Providers & Pending Allocations	100
College Road - New Kitchen	(99)
Yealmpstone Farm - Remodelling Project	(157)
Lipson CC - Artificial Turf Pitch	(100)
Other	<u>(339)</u>
	<u>(6,033)</u>

- 6.10 The capital programme is kept under continual review and therefore it is common for 'other' variations to arise and be reported to the CPB. These would include for example, additional grants and contributions being awarded for schemes currently in progress, and reduced or increased costs on current approved schemes which fall within financial regulation and standing order tolerance levels. The variations falling within this category during the period are as follows:

	£000
Tavistock Road - Bus & Cycle Lanes	88
PHSG - Redesignating Specialist Status	(25)
Devolved Formula Capital & Capital Project	30
Harnessing Technology Grant	191
Fair Play 'Playbuilder' Programme	(50)
Museum Ground Floor Development	60
Living Over the Shops	(70)
Other	<u>(13)</u>
	<u>211</u>

- 6.11 Based on the assumption that capital receipts are used to finance the capital programme as "funding of last resort", and that grants and contributions are, in the main, able to be moved to future years without penalty or clawback, a programme of £88.7m would be financed as follows:

	£000
Supported Borrowing	17,942
Unsupported Borrowing	9,608
Grants & Contributions (inc Section 106)	54,510
Capital Receipts	0
Revenue & Funds	<u>6,640</u>
Assumed financing of programme	<u>88,700</u>

- 6.12 The actual financing will be reviewed as part of the closedown process in the light of final spend for the year, slippage on individual schemes and any conditions on funding such as capital grant deadlines.

Recommendations:

3. The movement on the capital programme during the period be noted and the inclusion of new schemes totalling £0.745m, outlined paragraph 6.8 and scheme variations totalling £0.211m, outlined in paragraph 6.10, be added to the programme for 2009/10

7. Medium Term Capital Programme Update

- 7.1 Council approved a capital programme of £371.208m to the period 2013/14 at its budget meeting on 2 March 2009. As reported previously the programme has been updated to remove the 2008/09 spend, and for any new approvals or re-profiling, and an additional year 2014/15 has been added to maintain a rolling 5 year programme. The only scheme currently shown in 2014/15 is the Eastern Corridor following re-profiling of the scheme. The final programme for 2014/15 will be firmed up over the next few months as and when further details of capital funding are announced by the relevant Government Departments. The latest 5 year programme is now forecast to be £257.773m with movement since 2 March 2009 as follows:

	£000
MTFP as per budget setting	371,208
Less: 2008/09	(78,096)
Other Adjustments - re-profiling and new approvals	<u>(22,374)</u>
Revised MTFP as at September 2009	270,738
Further Movement in Period (see below)	<u>(12,965)</u>
Revised MTFP as at November 2009 (2009/10 to 2014/15)	<u>257,773</u>

- 7.2 The main reason for the movement in the period is as a result of the re-profiling of the Eastern Corridor scheme beyond the current medium term capital programme reporting period, with other variations as follows:

	£000
2009/10 New approvals & Variations (see 6.5 above)	957
East End Community Transport Community Scheme	(1,566)
Eastern Corridor (re-profiled beyond 2014/15)	(12,001)
Life Centre	(308)
Fairplay 'Playbuilder' Programme	(50)
Devolved Formula Capital Projects	<u>3</u>
	<u>(12,965)</u>

7.3 Capital Receipts Update

7.3.1 The capital receipts requirement within the current medium term programme is £27.303m. At the end of November, actual capital receipts available to finance the programme stood at £2.215m, excluding the Citybus receipt (see below) as shown below:

	£000
Capital receipts b/fwd from 2008/09	347
Capital receipts received in 2009/10 as at 30 Nov 2009	<u>1,868</u>
Capital receipts available as at 30 Nov 2009	<u>2,215</u>

7.3.2 There is a separate report on this agenda related to Tamar Science Park which proposes a funding package which will mean the Council forgoes potential future capital receipts on this site. These potential capital receipts have not been included in the capital receipts forecast as proposals for any future sale of this land would be subject to commercial negotiations by a third party.

7.3.3 In addition the Council received £19.265m from the disposal of Plymouth Citybus. At this stage this has been invested with UK banks. There are currently no spending plans earmarked to be financed from the City Bus capital receipt, any proposals would form part of a future Cabinet report.

SECTION B- DEPARTMENTAL BUSINESS REPORTS:

Key budget and CIP variations for each department are shown in scorecard format. Where there is a risk in relation to achieving either performance indicators, key CIP milestones and/or budget out-turn within agreed tolerance levels, 'Amber' or 'Red' tags have been displayed. Mitigation action to address such risks is detailed by each department.

8. Children's Services

8.1 Corporate Improvement Priorities:

The Children's Services department is leading on the following Corporate Improvement Priorities:

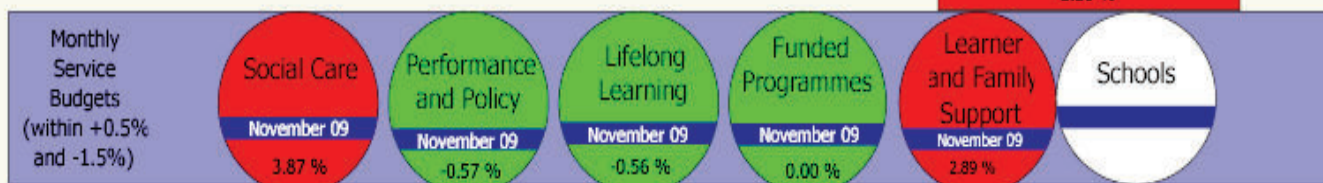
- Keeping Children Safe (CIP 7)
- Improving skills and educational attainment (CIP 8)
- Developing high quality places to learn in (CIP 9).

8.2 Progress against priorities

The following scorecard outlines the progress against each CIP.

Children & Young People's Services CIP Progress and Management Report

Childrens Services
Monthly Revenue Budget
November 09
2.26 %



CIP 7. Keeping children safe

Measures Milestones

There has been mixed performance in this area. Following central government clarification we have amended counting rules for assessment timescales. This led to an initial dip in performance from 61% to 31% of initial assessments completed within 7 days and from 76% to 34% of core assessments completed within 35 days. This has been tracked on a weekly basis and service levels have substantially improved to approximately 60%. A range of initiatives have been put in place to halt the number of placement moves that children in care experience. In September the % of children experiencing 3+ placements peaked at 17.7% but has reduced to 15% in November. Sustained improvements are anticipated to year end. There are a substantially higher number of children with a child protection plan but plans have not drifted – we will confidently meet targets at year end. This CIP also includes anti-bullying measures. During November's Anti-Bullying week the Children and Young People's Trust launched its new strategy and toolkit for all professionals working with children.

CIP 8. Improving skills and educational achievement

Measures Milestones

Performance improvements have been made in key areas identified within this CIP, especially Foundation Stage and KS4. There have been particular improvements to narrow gaps in attainment between those pupils entitled to free school meals and those who are not.

The latest validated data for education attainment for children in care shows 41% children in care attaining Key Stage 2 English and 32% attaining Key Stage 2 Maths. This performance is based on results from summer 2008. Validated results from 2009, due early in January, show significant improvements, borne out from individualised support to children with more than 50% achieving the target level. It is important to note that the very small size of cohort means that year on year comparisons should be treated with caution.

The number of young people aged 16-18 who are not in education, employment or training is 6.9%, a significant drop on the second quarter performance of 8.3%. This has been achieved against a difficult regional and national position. Early indications are that there has been a significant rise in the post 16 staying on rate. Plymouth continues to experience a declining labour market with the lowest current stock of vacancies for any local authority area in the region. A number of meetings have been held around managing responses to the recession between Connexions and specific employer groups and agencies.

CIP 9. Developing high quality places to learn in

Measures Milestones

BSF announcement was made on 30th November 2009, this means that Plymouth's Initial project is in the BSF Programme. A further £80m will allow significant investment to be made into secondary provision including a new school for the SW of the city.

8.3 Revenue Budget Monitoring - £1.179m overspend 2.26% of net budget

The key reasons for the overspend are:

a) Policy and Performance - (£0.020m) underspend (0.57%) of net budget

The main change since the last report is the inclusion of the carefirst pressure for children's services of £0.246m which has been partly offset by the departmental carry forward from 2008/09 of £0.129m and £0.043m for ME Learning in the social care training budget. The previously identified net pressure of £0.061m relating to the joint appointment of the Assistant Director for Health post and his personal assistant has been reduced to £0.030m following successful negotiation of the contribution from 50% to 25%.

b) Learner and Family Support - £0.269m overspend 2.89% of net budget

There has been a net improvement of £0.054m since the last report which is largely due to expenditure on projects being curtailed to partly offset the unavoidable pressures that have arisen in this area.

c) Lifelong Learning - (£0.072m) underspend (0.55%) of net budget

This area is forecast to underspend at the year end by (£0.072m), an improved position since the last report largely due to employee related savings being significantly in excess of the target which has been partly consumed by a variety of cost pressures previously reported.

d) Social Care - £1.002m overspend 3.87% of net budget

There has been an adverse variation of £0.084m since the last report due to minor changes in areas previously reported including an additional two placements over (now seven over) budget in the Independent Residential Care sector and additional pressure relating to the cost of Legal Agents.

As set out above, there are a number of known variations that have been reflected in the monitoring forecast. Action Plans are currently being shaped in the following areas to mitigate against the potential non-achievement of the £1.162m saving anticipated when setting the base budget:

- The home to school transport policy is undergoing a review;
- The Integrated Disability Service direct payments is being reviewed in conjunction with wraparound packages of care and independent sector placements for children;
- General efficiencies including ongoing review of agency staff and temporary staffing arrangements, stationery and conferences.

Indications are that whilst management action will continue to be taken to minimise the forecast overspend during the year, national and local high profile media cases will increase the pressures on the service. In addition, officers are currently assessing the potential implications of the Southwark judgement which relates to the new responsibilities for 16/17 year olds where previously short term help, such as housing support, was provided but now local authorities will be required to take them into care and provide additional services until the age of 25. This will not only have a financial implication, but will affect the numbers of looked after children and the stability of placements. The impact of this on the homelessness budget will need to be assessed with a reallocation of budget resources made as appropriate.

8.4 Progress against 2009/10 Action Plans

£3.540m of action plans were set within the 09/10 revenue budget for Children's Services. At present, Action Plans totalling £2.254m have been, or are on course to be, achieved and ranked green, with £0.124m assessed as Amber presenting some risk and £1.162m assessed as Red presenting a high risk of not being achieved. Management action will continue to be taken to manage this variation in the context of the issues raised above where the safety of children is not compromised. Examples of actions being implemented are shown above.

8.5 Dedicated Schools Grant (DSG)

The Council receives funding for Schools through the Dedicated Schools Grant. The grant funds expenditure either directly through the Individual Schools Budget (ISB) or incurred by the Council on behalf of schools. Any over or underspends on the DSG are carried forward. The distribution of the ISB element of the grant is in accordance with the schools funding formula and the overall DSG has to be approved by the Schools Forum. The schools budget for 2009/10 was set using the figure of £140.487m (estimated DSG of £140.137m + £0.350m brought forward from 2010/11 budget). The final DSG for the year was announced as £140.166m, providing an additional £0.029m and Schools Forum agreed that this would be used to reduce the commitment for 2010/11 by adjusting the £0.350 brought forward from 2010/11 to £0.321m.

August 2009 monitoring of the DSG reported to Schools Forum indicated a broadly neutral position but the October 2009 monitoring indicated a forecast pressure of £0.940m. The pressures are mainly due to the increased costs of special educational needs statements and the cost of school redundancies being significantly in excess of the budgeted figure. This was reported to the Schools Forum on 8 December 2009. Although the Council's general fund is not directly affected by the adverse position on the DSG, budgetary pressures on Schools could impact on various performance indicators for the Council.

8.6 Key High Level Risks

- Achieving the challenging Children Social care budget reduction whilst ensuring that safeguarding issues are not compromised
- Recognising that many of the performance indicators are the responsibility of Partners (as Leads) requiring the development of aligned or pooled budgets

8.7 Departmental Medium Term Forecasts

The departmental medium term forecasts are currently being updated as part of the more detailed budget exercise for 2010/11. As part of this exercise revised departmental targets will be set.

However, the key issues facing this department over the medium term are delivering a Value for Money (VFM) service throughout the department, especially within the Social Care division and progressing the challenging Building Schools for the Future (BSF) transformational change programme

8.8 Capital Spend / Programme

Table 5

	Original Approved Budget	Latest Approved Budget	Latest Forecast Oct 2009	Latest Forecast Nov 2009	Bi Monthly Variance	Expenditure as % of Latest Forecast
	£000	£000	£000	£000	£000	%
Children's Services Department	52,057	56,663	55,753	55,904	759	60%

Actual expenditure as at the end of November 2009 was £33.872m.

The major variations on the programme in the period (over £100,000) are as follows:

£000	Profile Changes
(300)	New School Milford. Project suspended. Managed delay to mitigate risk associated with estimated future funding.
(310)	School Kitchens TCF for New and Improved Facilities (Various projects). Delay due to detailed design and affordability issues.
(366)	School Kitchen and Dining Facilities (Various projects). Updated information from school managed projects – now likely to start in 2010/11, mainly due to requirement to undertake works in school holiday periods.
(200)	Southernway Federation (2 New Schools Southway). Final completion and demolition works now expected to be spring 2010.
(226)	Hospital School. Project delivery re-phased to match contractors schedule for Estover Campus. Likely construction is now Summer 2010 to Summer 2011.
1,491	Highview (New School at Efford). Contractor is ahead of original schedule. Programme has been amended against the revised cash flow statement.
(285)	Longcause School – £150k Specialist Sports (Covered MUGA) Delayed at design stage due to affordability. £135k New SEN Facilities (New build extension) now anticipated to start on site spring 2010.
(200)	Children's Centres Phase 3. Woodford Children's Centre start on site now anticipated Spring 2010 following programme review.
100	14-19 Diploma Gateway – Allocations to External Partners. Works undertaken at City College and College of Art and Design in advance of original estimates.

(99)	College Road – New Kitchen. Delay due to design complications. Works now planned to start Summer 2010.
(157)	Yealmpstone Farm Remodelling / Early Years. Minor delay due to affordability and design review. Likely start on site spring 2010.
(100)	Lipson CC Changing Facilities. Delay due to design and affordability issues.
<u>(237)</u>	Other Profile Changes
<u>(889)</u>	Total Children’s Services Profile Changes

Variations

190	Harnessing Technology. Correction to budget. Amount retained centrally was understated.
<u>(60)</u>	Other Variations
<u>130</u>	Total Children’s Services Variations

8.9 Sure Start

Sure Start Revenue
No anticipated variation

Sure Start Capital

The confirmed allocations of Sure Start capital grant for 2008-11, were incorporated within the approved capital programme. Early Years surveys have now been completed and prioritised grants to providers are being considered. Phase 3 Children’s Centre projects have now been agreed in principle and allocations made. Detailed design work for priority projects is underway and it is now anticipated that most projects will start on site during spring 2010. Plans and progress have been discussed with Together for Children (TfC) and are currently within their required timescales for delivery.

All unspent Capital funds from the 2009/10 allocation will be carried forward to 2010/11 without the requirement for further DCSF approval as it forms part of a 3 year 2008 -11 funding allocation.

9. Community Services

9.1 Corporate Improvement Priorities:

The Community Services department is leading on the following Corporate Improvement Priorities.

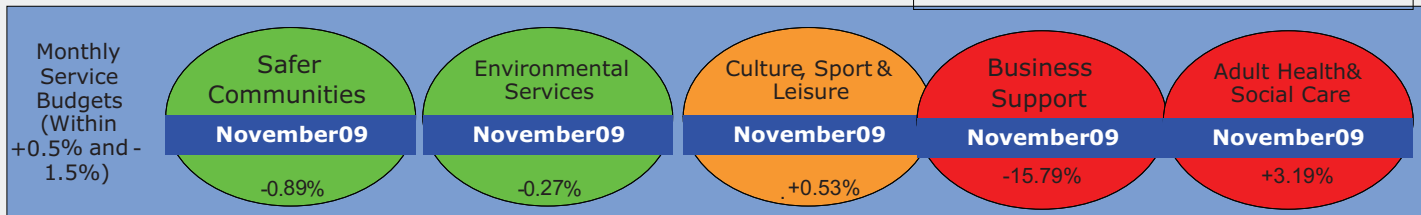
- Helping people to live independently (CIP 3)
- Reducing inequalities between communities (CIP 4)
- Providing more and better cultural and leisure opportunities (CIP 6)
- Disposing of waste and increasing recycling (CIP 10)

9.2 Progress against priorities

The following Scorecard outlines progress against each CIP overall.

**Community Services
CIP Progress & Management Report**

Community Services Monthly Revenue Budget	↑
November09	
+1.45%	



CIP 3. Helping people to live independently

Measures Milestones

The Service has met targets on a number of indicators that contribute to helping people to live independently.

The annual performance assessment for 2008-09 was published in December 2009. The Adult Social Care Service was reported as performing well overall and against each of the seven outcomes measured by the Care Quality Commission.

A mid year review of performance for 2009-10 has been undertaken and as a result greater focus is given to timeliness of assessments, reviews and work with carers.

The work to produce a workforce development plan from the strategic framework is on track to be completed by 31 March 2010. We are co-locating health and social care staff in Plymstock/Plympton from January 2010 as part of the agreed joint direction of travel with health. The number of people with learning disabilities admitted to residential care continues to reduce from the 2008-09 outturn position.

The budget continues to be under considerable pressure and there are a number of management action plans in place that are frequently reviewed, although a risk of a continued budget pressure remains.

CIP 4. Reducing inequalities between communities

Measures Milestones

Planning permission has been secured for the Efford Gypsy site and a lot of work went into a bid for government funding to build the site, which was submitted in June. We had expected to hear the outcome of this by the end of October but a decision is still awaited from the Secretary of State.

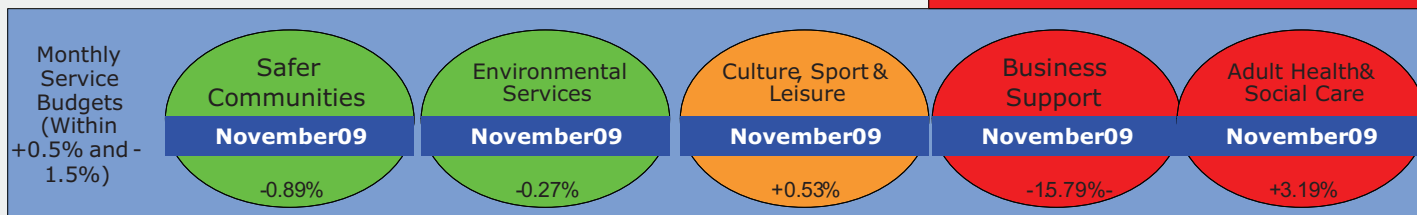
There is a risk associated with both the delay, and not knowing whether we will be successful in getting government funding to build the site. Not getting the money would mean we would not have the £1.4m required for the build. A significant delay in the announcement could mean we would have to revise timescales in project plan. The detailed implications of these will be reviewed as necessary.

Consultation on Locality working has been undertaken, including a formal Scrutiny process, and the results are being considered by LSP partners to help develop a model for Locality working.

Benefit and tax credit take-up is significantly exceeding the target (£3.2m realised in first 6 months of 2009/10) following the start of a new contract for delivery of financial advice to the public. The recession has led to an increase in demand for these services. Community Cohesion and equalities work is on schedule.

**Community Services
CIP Progress & Management Report
(Continued)**

Community Services Monthly Revenue Budget	↑
November09	
+1.45%	



CIP 6 . Providing more and better culture and leisure activities

Measures Milestones

Three milestones have now been completed, and a further fourteen are proceeding on schedule. The budget situation continues to improve (from +0.96% in September to +0.53%) and plans are in place to bring it in on budget.

The milestones linked with opportunities to participate in culture and sports facilities are on track: capacity has been confirmed to coordinate an action plan for the Olympics and Cultural Olympiad. Although there is a risk over the securing of a third venue for the British Art Show, two venues have been confirmed; the third has been identified and is awaiting final negotiations before confirmation.

The milestones to provide excellent cultural services to enhance the quality of life have been updated. This has been to allow for a fuller consultation to take place over the sports strategy, revised and agreed by cabinet, and to address issues raised by APSE in the preparatory assessment for the Public Service Excellence award. A legal agreement has now been reached on provision of a publicly accessible swimming pool in the north of the city and is awaiting details of the specification and design for final agreement. Finally tender evaluation is continuing for the Life Centre to ensure the best value for money possible.

The milestones to evidence how culture, sport & leisure are being used to address corporate objectives are also on track: for example, there will be two new headspace schemes in the forthcoming Devonport and Plympton libraries, and the number of education and hard-to-reach groups participating in outreach programmes are exceeding their targets.

CIP 10 . Disposal of waste and increasing recycling

Measures Milestones

We have now completed 8 out of 14 of the milestones and a further 4 are running on track to be completed on schedule.

The milestones relating to Key Improvement No. 1: Secure long term residual waste treatment facilities, have been updated to reflect the latest project delivery dates..This reflects the final tenders in August 2010 with operational delivery on track for 2014.

Although improvements to increase the capacity and capability of the Materials Recycling Facility (MRF) to recycle waste has been delayed, this does not affect the current operational service delivery as the existing plant is able to process/cope with current recycling tonnages. The upgrade has been planned in anticipation of future projected higher recycling rates.

The increase in NI193, Municipal waste to landfill, in November 2009 is a normal and expected seasonal increase, largely due to reductions in garden waste and DIY related tonnages, and not a cause for concern. Data for the previous two years illustrates the seasonal increase in Municipal waste to landfill throughout the winter months. Municipal waste land filled is still decreasing and is 3% less than at the same point last year. This success is also reflected in the residual waste per household which is currently 3kg less than at the same point last year.

However, it is unlikely that the annual recycling target will be met. This is due in part to the economic recession causing the recycling market to introduce higher quality standards particularly for mixed paper and card. Initiatives to increase the City's recycling rate now include: Improvements to Chelson Meadow recycling centre; Expansion of garden waste collection scheme and Improvements to the materials recycling facility (MRF)

In addition, the Safe and Strong group are providing support in increasing the City's recycling rate and further initiatives are planned for 2010 including a further expansion of the garden waste scheme, and an upgrade to the Materials Recycling Facility to increase capacity and capability of dealing with predicted extra tonnages. It is however a challenge to change behaviour to ensure residents recycle more of their waste.

9.3 Community Services Dept – Forecast is an overspend of £1.483m

The latest forecast is £103.972m against a revised budget of £102.489m, representing a departmental year end adverse variance of £1.483m (1.4%).

The adverse position is the result of a net increase in Adult Social Care of £2.000m and Culture Sport and Leisure of £0.054m costs offset by net savings within Environmental Services (£0.066m), Safer Communities (£0.015m) and the departments Service Strategy and Regulation Service (Business Support) (£0.490m). The change in the period is due to an increase in Adult Social Care costs offset by additional savings within Business Support

Adult Social Care – £2.000m overspend

The throughput of clients and complexity of clients needs have continued to increase steadily during the year across a range of client groups resulting in additional pressures of approximately £3.200m. At the same time the transformation of the service and actions to address known cost pressures has taken longer than planned or produced lower than expected savings. Additional review panel efforts to reconsider clients needs have been successful in limiting new and future spend but not significantly reducing existing spend. The main cost pressures are:

Increased Costs

- Community Based Services, showing a year end forecast of £1.800m more than budget with increased direct payments for a range of clients and increased supported living (mainly for Learning Disabilities);
- Learning Disability showing a year end forecast of +£0.567m;
- Intermediate care showing a year end forecast of +£0.359m;
- Occupational Therapy showing a year end forecast of +£0.343m.

Delivery Plans not achieved or delayed

- Delayed re-provision of services arising from Older People and Learning Disability resulting in a slippage of savings £0.560m;
- Reduction in direct payments £2.200m;
- Savings arising from the implementation of Carefirst £0.450m.

The change in the reporting period is the cumulation of increased review panel activity and specific review teams only being able to reduce new cost pressures as opposed to reducing existing spend. Work with the PCT is also planned to address the issues and implications relating to continuing health care.

Adult Social Care performance has significantly improved in the last year. At the same time the service continues to address a range of legislative and other agendas that are driving wider transformation. A programme board, chaired by the Director, is to be established to ensure transformation, performance, improved client outcomes, alignment with partners, cost reduction and financial risks are all managed and co-ordinated effectively.

Culture, Sport and Leisure – £0.054m overspend, a reduction of £0.044m from the last period. This is mainly as a result of slippage with the procurement of a Leisure Management Operator. The Mount Edgcumbe Joint Committee have approved the recommendation to request an additional contribution from the constituent authorities at £0.100m a piece, to support the business during the first part year trading of new enterprises. Action is also being taken to ensure future contributions of this nature will not be necessary.

Environmental Services – (£0.066m) underspend, mainly due to reducing the spot hire costs in Fleet & Garage and lower Waste Disposal land fill tax volumes. These savings are partly offset by increased overspends in Parks & Waste Collection.

Safer Communities – (£0.015m) underspend due to vacancy savings.

Business Support (Service Strategy and Regulation) – (£0.490m) underspend due to vacancy savings. The departments Business Support section has been taking action to contain spend in order to set aside resources to address departmental cost pressures, initially carefirst costs. The change in the period of approximately £0.400m is the result of using vacancy savings and limiting the use of training budget and other costs such as consultancy and travel related expenses to offset the wider departmental cost pressures. The impact of this will result in future carefirst costs having to be managed and resources identified.

9.4 Progress against 2009/10 Action Plans

A delivery plan was agreed as part of the 2009/10 revenue budget for Community Services. A significant number of the actions within the delivery plan impact on Adult Social Care, and current indications are that the actions are going to be challenging to achieve. Approaching year end further short term actions to contain spend will now be considered. Progress against all action plans continues to be monitored monthly with remedial or alternative action being considered as necessary.

9.5 Key High Level Risks

The key financial risks for 2009/10 across Community Services are summarised below.

- a) The high unit cost of adult social placements, particularly within Learning Disabilities, combined with the changes in funding responsibility between the PCT and Adult Social Care relating to both reviews of joint packages of care, Continuing Health Care and transfer of Learning Disability commissioning budgets. The impact of a potential Swine Flu outbreak and also the severe and prolonged cold weather may also create additional pressures on the Adult Social Care service.
- b) The ability of the department to increase service levels / client numbers to achieve the CIP targets – particularly within Adult Social Care.
- c) Managing expectations of enhanced levels of service against the approved budget particularly around adult social care, waste collection and street scene.
- d) Increasing pressures of LATS and landfill tax on the waste disposal budget.
- e) Fall in predicted income due to economic downturn e.g. trade waste.

9.6 Departmental Medium Term Forecasts

The departmental medium term forecasts are currently being updated as part of the more detailed budget exercise for 2010/11. As part of this exercise revised departmental targets will be set.

The key medium term issues for the department are:

- a) The demographic changes within the population and increasing complexity of some client groups, such as Learning Disabilities, resulting in increased need for adult social care services.
- b) The Personalisation Agenda with Individual Budgets will have a fundamental impact on Adult Social Care. Feedback from the pilot sites identify that this cannot be seen as a mechanism for providing savings. This will require careful monitoring during implementation to build on learning from other authorities experiences.
- c) The integration and joint commissioning agendas and the partnership working with the PCT.
- d) The impact of any potential Central Government changes relating to free social care are currently being considered.
- e) The Municipal Waste Management Strategy (MWMS) identified that waste management costs would increase significantly in the future due to the need to procure a waste disposal facility. The business case identified a revenue shortfall of £215million. This equates to £8m per annum (2011-2013), reducing to a £6m annual increase (2014-2039). These pressures will need to be incorporated into future budgets.
- f) In the short term, the Authority also faces additional budgetary pressures to implement new recycling initiatives, buy LATS credits, fund increased landfill tax (£8 per tonne each year), and fund contributions to the 'balancing fund' account created to meet the £215m shortfall. These have already been reflected in the Medium Term Financial Forecast, but funding / budget savings have yet to be determined.
- g) Plymouth has successfully bid to be part of the England world cup bid. If Plymouth is part of a successful England bid, the event would generate substantial revenue into the city and region with an estimated total spend in the city of around £292 million. The benefits of this would largely flow to the private sector. There are a number of implications for the Medium Term but they are very difficult to quantify at this stage, however, they are likely to be in the region of £15 million in the period from 2010 - 2019. The majority of these costs would be incurred in the last three years (2017-2019). The Council will need to work with Central Government and partners, in particular the private sector, to identify potential further sources of funding to reduce the impact on the Council Tax payer.

9.7 Capital Spend / Programme

Table 6

	Latest Approved Budget	Latest Forecast Oct 2009	Latest Forecast Nov 2009	Bi Monthly Variance	Expenditure as % of Latest Forecast
	£000	£000	£000	£000	%
Leisure, Culture & Sport	9,770	9,770	5,320	(4,450)	37
Adult Health & Social Care	576	576	576	0	29
Environmental Services	952	952	736	(216)	18
TOTAL	11,298	11,298	6,632	(4,666)	34

Actual spend on the programme as at end of November 2009 was £2.286m

The significant variations arising during the period are:

£000

(4,511) Life Centre

The start date of the major contract build is subject to another report on this agenda, and dependent on the report decision, the earliest start date will now be the beginning of February. Therefore there will be a reduction in expenditure reflected in 2009/10.

(216) Parks section 106 funded projects – reprofiling into 2010/11

4 projects have been part reprofiled into 2010/11, as a result of staff workloads in Parks services, and delays in progress following public consultation exercises.

10. Development & Regeneration

10.1 Corporate Improvement Priorities:

The Development Department is leading on the following three Corporate Improvement Priorities :

- Providing better and more affordable housing (CIP 5)
- Improving access across the City (CIP 11)
- Delivering sustainable growth (CIP12)

10.2 Progress against priorities

The following Strategy Map outlines progress against each CIP overall.

Development - CIP Improvement & Management Report

Development Monthly Revenue Budget
November 09
3.74 %



CIP 5. Providing better and more affordable housing



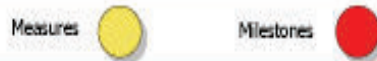
Stock transfer completed as Plymouth Community Homes officially took over Plymouth City Council's housing stock on 20 November. We have now set up a small pilot scheme to tackle hard to treat homes. We are currently working with eight individual homeowners, of which three have actually confirmed, to support the installation of external wall insulation to improve the energy efficiency of their hard to treat properties. We are continuing to develop our plans to set up a larger scheme tackling 114 private rented homes in Devonport, and hope to have this established by March 2010. By end of November we have enabled 130 new affordable home completions and are now currently projecting meeting our NI 155 target of 236 homes for the year.

CIP 11. Improving access across the city



Progress continues to be made in meeting the respective milestones on accessibility. As previously reported, final sign off of the accessibility action plan cannot take place until the Health LSP group meets again in January next year. Work continues on the sustainable schools strategy with an expected completion by March 2010. Finally, with changes to DfT guidance the development of a delivery plan for bus service improvements is now of wider scope and thus has taken longer than expected to deliver, but a draft is now in its final stage of approval.

CIP 12. Delivering sustainable growth



Delivery of the City's growth agenda is still broadly on track despite the inevitable impact of the recession through the Urban Enterprise Programme and the continuing implementation of both the Recession Action Plan and the Market Recovery Action Plan. Job creation and housing delivery initiatives are all on schedule. Delivery of strategic infrastructure is on track with planning permission now granted for the Eastern Corridor Community Infrastructure Scheme. Master planning for Central Park has had to be re-programmed following clarification of funding and the need for further detailed design work. Delivery of growth programmes has been affected by the Government's decision to remove £2.4 Million of previously approved New Growth Points funding which has resulted in the re-phasing of several infrastructure projects. Governance issues in relation to the proposed Plymouth Growth Board and streamlining groups have slipped. This is as a consequence of the Single Conversation and LSP governance issues and the need to align these. It is still anticipated that governance arrangements will be decided by March 2010. The position with the Regional Spatial Strategy continues to be uncertain.

10.3 Development & Regeneration – Forecast Revenue overspend of £0. 624m

Development currently has a forecasted revenue overspend at year end of £0.624m, the majority of which is due to recessionary pressures. The position has slightly worsened owing to the loss of rental income of £0.216m partially mitigated by net savings across the department of £0.103m.

Cumulatively there is a shortfall of £0.286m of car park income, £0.114m shortfall from City Market reserves and £0.346m of loss of income from commercial property rent. Where possible, Officers are taking remedial action to remedy e.g. reviewing potential car park improvements, including the impact of the recently upgraded Theatre Royal car park pay on foot scheme, and these will be monitored closely over the coming months.

Other variations include £0.059m due pressures on funding for CCTV, £0.223m in respect of capital charges on the parking account, offset by an expected favourable variation of (£0.250m) from concessionary fares - although this is a volatile area and it will be monitored closely. There is also an expected reduction in the Citybus dividends £0.084m.

It should also be noted that recent indications are that the authority will receive a further (£0.374m) additional Housing and Planning Delivery Grant. Formal confirmation of the terms is still awaited but based on previous criteria approximately a third of this sum equating to (£0.124m) will be applied to capital works with the balance of (£0.250m) remaining for revenue. Subject to confirmation this would reduce the pressure on Development to £0.374m. Approval is also being sought for further capitalisation for Theatre Royal car park improvements e.g. lift works. If this is approved this will amount to £0.100m and reduce the pressure further to £0.274m

Further business planning is currently being undertaken to identify further savings for the remainder of the year and officers are working towards a balanced position for the year.

10.4 Development Trading Accounts

The position on the trading accounts as at the end of November 2009 is as follows:

Table 7

	Latest Approved Budget	Outturn Forecast November 09	Latest Variance
	£000	£000	£000
Off Street Parking	(1,125)	(908)	217*
On Street Parking	(1,349)	(1,230)	119*
Street Trading	0	0	0
City Market	138	131	(7)
Total	(2,336)	(2,007)	329

*See above re comments in shortfall in income and other pressures on car park accounts

10.5 Progress against 2009/10 Action Plans

£3.155m of action plans were set within the 2009/10 revenue budget for Development. The majority of action plans relate to restructuring of services and reductions in staffing. These are largely complete.

Currently, only £0.117m of the General Fund action plans have been highlighted as being unachievable although all of them are under review.

10.6 Key High Level Risks

Income

There is continuing recessionary pressure on business throughout the city and initial indications are that income from rentals, planning applications and building control may be further affected beyond the assumptions already built into the budget. The Council has, however, produced a Market Recovery Delivery Plan which has identified the key pressures and endorses a number of priorities to support businesses. These areas will be closely monitored and will be the subject of further reports.

Housing

As part of the housing stock transfer, the Council, together with Plymouth Community Homes, is required to put in place a viable business plan and Masterplan for tackling housing issues in the North Prospect Estate. The authority has agreed to prioritise this with the Homes and Communities Agency (HCA) as part of the single conversation, matching funds agreed as part of stock transfer. While this funding is in principle from HCA through a tripartite funding agreement, future government budgetary changes could impact on this and there is some uncertainty over the full ten years development timescale.

10.7 Departmental Medium Term Forecasts

The departmental medium term forecasts are currently being updated as part of the more detailed budget exercise for 2010/11. As part of this exercise revised departmental targets will be set.

10.8 Capital Spend / Programme

Table 8

	Original Approved Budget	Latest Approved Budget	Latest Forecast Oct 2009	Latest Forecast Nov 2009	Bi Monthly Variance	Expenditure as % of Latest Forecast
	£000	£000	£000	£000	£000	
Development Directorate	20,932	22,062	22,361	22,417	355	28%

Actual spend at the end of November 2009 was £6.3m.

The significant variations arising during the period are:

£000

- 544 **Airport works - airside works**
There remains £0.544m funding available via the Regional Development Agency to fund airside works which are scheduled to be undertaken by Plymouth City Airport by the end of November 2009. These works are outstanding from a 2001 grant agreement, whereby the RDA agreed to provide Plymouth City Council with grant funding totalling £4.179m. This provided for £2.929m towards the realignment costs of the A386, grant funded works which are completed and fully audited; and £1.25m for airside improvements.
- (399) **Devonport Park – reprofiling into 2010/11**
New project management regulations that require a legal contract to be in place before works can start on site, and the need to secure discharge of the planning condition on the new pavilion have resulted in a two month scheme delay for this project. Funders, Heritage Lottery have been fully briefed regarding this small delay.
- 88 **Tavistock Road Bus & Cycle Lane**
Increased costs identified on scheme to be funded from section 106 monies.
- 183 **Richmond Walk CCTV – new scheme approval**
Capital Programme Board officers have approved the installation of 2 CCTV cameras at Richmond Walk, to be funded in full by Devonport Regeneration Community Partnership.

11. Corporate Support

11.1 Corporate Improvement Priorities:

The Corporate Support department is leading on the following Corporate Improvement Priorities.

- Improving Customer Care (CIP 1)
- Supporting Staff to Perform Better (CIP 13)
- Providing better Value for Money (CIP 14)

11.2 Progress against priorities

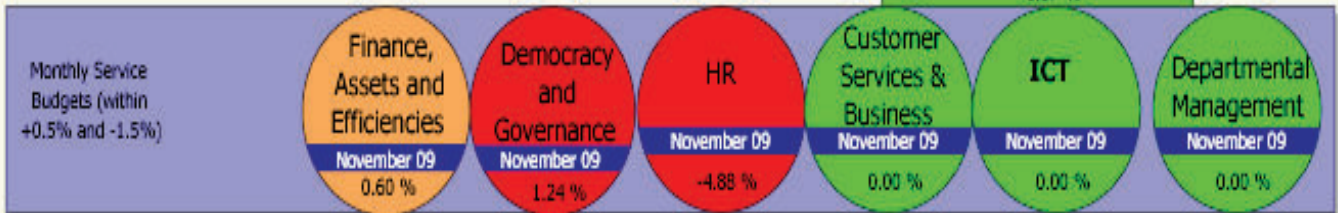
The following Scorecard outlines progress against each CIP overall.

Corporate Support CIP Progress and Management Report


Corporate Support
Monthly Revenue Budge

November 09

-0.07 %



CIP 1. Improving Customer Service

Measures 

Milestones 

The lean systems work in Revenues and Benefits/Customer Services continues to improve service and enables greater demand to be met effectively with fewer staff. The roll-out of lean systems to other parts of the organisation has been put on hold and will be revisited as part of CIP1 for 2010/11.

The replacement of the current complaints systems has been delayed due to identifying a supplier that can provide a corporate solution to CRM. It is still hoped that that this will be on target for the new date of March 10.

The CLG review redress pilot is well on track and is progressing within both PCC and with Teignbridge and Exeter CC partners.

CIP 13. Supporting Council staff to perform better

Measures 


Milestones 

Negotiations are continuing with Trade Unions to finalise changes to the Single Status Terms and Conditions. Over 90% of role profiles have been completed. Managers have now been trained in the use of the PCC Competency framework and departments are planning appraisals in readiness for the 31st January 2010 deadline. Communications around appraisals are planned throughout December and January and appraisals rates will be closely monitored to ensure appraisals take place.

Manager Self service (MSS) development is on-track and instability issues appear to have been resolved and a sample of managers from across the organisation are being invited to participate in acceptance testing in January.

The e-Learning package to be used in the roll out of training for MSS is now under development. The system is planned to go live in April 2010. Although risks and issues have been identified for other workstreams, these are being managed and all other projects are on target.

CIP 14. Improving value for money

Measures 

Milestones 

A business case to support the Accommodation strategy has been presented to Corporate Management Team in November. The first pilot is now in place on Floor 5 of the Civic Centre. This will be monitored and evidence gathered to inform the wider implementation of the strategy. The learning from Service Level Agreements put in place for stock transfer will inform the CIP14 refresh to determine the work needed next year.

Efficiencies identified to date against our NI179 efficiency indicator remains below target. However, the new Heads of Service have been recruited, which will help to drive the CIP14 and wider efficiency agenda (Head of Value for Money and Head of Capital and Assets). In addition Value for Money Delivery plans are currently being produced as part of the Budget/Medium Term Financial Planning process.

The Council's Carbon Reduction Commitment has confirmed the potential financial liabilities to be incurred from 2010 onwards (approx £360k per annum). These liabilities are being incorporated in the Medium Term Financial Forecast and relevant actions and training continue to be developed to help reduce our carbon footprint and limit the level of financial penalties.

The Low Carbon Network launched in June 2009 is progressing well with 48 local companies undertaking training in carbon management throughout September, October and November. The city's Climate Change Action Plan will be launched on 16th December and a Climate Change Commission is being established to guide both the Council and the LSP.

11.3 Corporate Support – Forecast underspend of £0.027m (0.075%) of net budget

The forecast variation in Corporate Support shows a projected underspend of (£0.027m) which is a reduction of £0.105m since September.

The main variations are:

(a) Finance, Assets and Efficiencies:

A net overspend of £0.100m is being forecast for this area. The key management posts have now been successfully filled which will enable the remaining structure to be finalised and efficiencies achieved over the longer term. There are unavoidable overspends in the areas of finance, capital & assets and procurement partly offset by vacancy savings throughout the service and an underspend in Revenues & Benefits.

(b) Democracy and Governance :

An overspend of £0.073m is forecast partly in respect of action plan efficiencies that transferred from the 'old' Chief Executives Department which are currently being addressed together with additional staff related costs within Democracy and Governance mitigated by salary related savings in Legal services.

(c) Human Resources

An under spend of (£0.200m) mainly as a result of vacancies and secondments to major projects.

11.4 Progress against 2009/10 Action Plans

£2.696m of new action plans were set within the 2009/10 revenue budget for Corporate Support. As at the end of November, monitoring of progress indicated plans totalling £0.200m have been rated as at high risk of not being achieved. The high risk element relates to a reduction in building cleaning costs and savings in the upkeep of Windsor House. Both of these budget areas are currently being reviewed.

11.5 Key High Level Risks

The highest risk is that some efficiency savings, including those carrying on from previous years, have not yet been fully identified although now allocated to managers for action.

11.6 Departmental Medium Term Forecasts

The departmental medium term forecasts are currently being updated as part of the more detailed budget exercise for 2010/11. As part of this exercise revised departmental targets will be set.

11.7 Capital Spend / Programme

The latest approved capital programme for corporate support for 2009/10 is £2.407m. This is forecast to reduce by £0.006m by the end of the year to £2.401m. Actual spend at end of November was £1.399m.

a) The latest approved budget for I.T. for 2009/10 is £1.094m.

The current projection is to achieve 100% of the total programme. Actual expenditure, net of 2008/09 accruals as at the end of November 2009 was £0.744m, which equates to 68.01% of the Latest Approved Budget.

b) The latest approved budget for Corporate Real Estate is £1.307m.

The current projection is to achieve 100% of the total programme. Actual expenditure, net of 2008/09 accruals as at the end of November 2009 was £0.655m, or 53% of the proposed Latest Approved Budget.

12. Chief Executive

12.1 Corporate Improvement Priorities:

The Chief Executive's department is leading on the following Corporate Improvement Priorities.

- Informing and Involving Customers (CIP 2)

12.2 Progress against priorities

The following Scorecard outlines progress against each CIP overall:

Chief Executive's CIP Progress and Management Indicators

Assistant Chief
Executives Monthly
Revenue Budget

November 09

-0.87 %

Monthly
Service
Budgets
(within +0.5%
and -1.5%)

Corporate
Communications

November 09

-4.21 %

Performance,
Policy and
Partnerships

November 09

0.00 %

CIP 2. Informing and involving customers

Measures



Milestones



A pilot 'You Said, We Did' campaign took place during Sept and Oct, initiating an on-going programme of campaign work - part of our approach to improving our NI4 score. Slogans associated with the campaign were again used in association with a programme of activity that took place in Oct and Nov to promote the corporate improvement priorities. 'Have your says', and 'You Said, We Did' promotional messages featured in a three day public event in Drakes Circus, at the Respect Festival and appeared in 'Plymouth People' during this time. As well as influencing the council's review of its priorities, it is proposed that feedback from the public received at this event will be used as a source of future 'You said, we did' activity and associated slogans.

The 18th Plymouth Points of View (PPOV) survey completed in October, and the 19th and final one of the existing contract commenced in November. Review of the contract will be completed, the plan being to re-establish the panel as a tool supporting partnership wide consultation activity. Initial discussions with partners have been positive - subject to further agreement, the contract will be re-issued by the end of March 10. Recent PPOV reports can be found on the council web site.

A working group met in November to discuss public engagement in the context of the emerging localities agenda, and PCC consultation leads will have the opportunity to have their say about this important piece of work at their quarterly meeting in January. The results of these discussions will inform the localities operating model scheduled for implementation in the first quarter of 1010/11.

Finally, work to improve how consultation opportunities are promoted on the Council's web site has been on-going with banners on staffroom and the main home page frequently used to draw attention to the corporate consultation calendar. The CIP2 programme manager will continue to be involved in how the web (and other channels) are used to provide information to the public about engagement and consultation opportunities

12.3 Revenue Budget Monitoring – under spend of (£0.024m)

The Chief Executive Department's monitoring for November continues to show a favourable variation of (£0.024m), resulting from vacancies above target and general slowing down of running expenses.

12.4 Progress against 2009/10 Action Plans

£0.505m of action plans were set within the 2009/10 revenue budget for the Chief Executive's Department. It is forecast that these will be achieved in full for the current year, although some of this will be achieved by vacancy savings which may not be ongoing into future years. Any shortfall for future years will be addressed during the next few months as the department reviews actions to bring its budget back to target in each year over the medium term.

12.5 Key High Level Risks

Whilst the action plans and current projections show a breakeven position for this financial year, there is pressure on future years' budgets as this is dependant on securing external funding streams.

12.6 Departmental Medium Term Forecasts

The departmental medium term forecasts are currently being updated as part of the more detailed budget exercise for 2010/11. As part of this exercise revised departmental targets will be set.

13. Corporate Items & Cross-Cutting Issues

13.1 Corporate Items - Revenue Budget Monitoring – underspend (£0.153m)

There has been no significant movement on this budget during the period. As reported previously the economic and financial climate is affecting our ability to achieve the target rate of return on our investments, and although we are still forecasting a small favourable variation in this area this is being more than offset by an adjustment to the annual Minimum Revenue Provision (MRP) following clarification of accounting treatment.

There remains a favourable variation from the reduced energy prices under the new contract (net of the agreed virement to corporate property) and the impact from the reduction in the pay award assumption from 2% to 1%.

Contingency

The balance remaining on the general contingency is £0.425m. The figures above assume this will be spent in full.

13.2 Risk Management (1) - Items not reflected in the figures

13.2.1 Capitalisation Directions

As outlined earlier in the report, Officers have submitted a number of Capitalisation Direction applications to DCLG. If approved, the Council will be able to fund certain 'revenue' expenditure from capital receipts and/or unsupported borrowing. Approval of the applications will assist the Council to manage both its revenue and capital resources over the medium term.

Formal Cabinet approval for the use of the Capitalisation Directions will need to be sought once we are informed of which, if any, have been approved by DCLG on 31 January 2010 and this approval will outline the implications on the current year and medium term revenue and capital budgets

13.2.2 Back dated Equal pay claims

The Council has received a number of equal pay claims and grievances. These are being dealt with by way of grievance hearings and through the Employment Tribunal system. Grievance hearings commenced during November and some payments will be made during December for successful cases. It is unlikely that any tribunal claims will be heard in the current financial year. The Council secured a Capitalisation Direction to cover equal pay claims in 2007/08. However the Capitalisation Direction may only be used for those claims submitted prior to 31 March 2008.

As part of closedown for 2008/09 the Council set aside a further £0.350m in a reserve for equal pay claims and although a further application for a Capitalisation Direction was submitted earlier this year, the Council has been informed that its application has been unsuccessful. It is anticipated that the current provisions will be sufficient to meet any approved claims during the remainder of this financial year, but there may well be a pressure on next year's budget.

13.2.3 Redundancy Position

At the end of November redundancy payments totalling £1.003m had been paid (including the strain payment to the pension's fund) with a further £0.460m of payments in the system. This brings the total redundancy payments to £1.464m, exceeding the current reserve provision by £0.331m. Further redundancies are expected over the next few months as departments seek to meet their planned staffing reductions but steps will be taken to minimise the impact by deleting vacant posts and natural wastage where ever possible. In order to manage the pressure on revenue in the current year, Officers have included a sum of £2m within the Capitalisation Direction applications for the costs of the redundancy strain payments.

At the end of November 2009, 72 employees had left the authority as a result of redundancy following the issue of the HR1 notice in February 2009.

13.2.4 VAT – 'Fleming' Claims

As reported previously, the Council has secured reimbursement of £0.477m overpaid VAT in respect of excess parking charges. HM revenue have now approved a further two claims in respect of cultural admissions and sports services at a value of approximately £1.6m, including simple interest, net of fees to PWC. These are due to be repaid within the next few weeks. A further claim in respect of sports tuition fees has been approved, pending HM revenue and custom's policy clarification. Cabinet have previously approved that all Fleming reimbursements be transferred to the Corporate Improvement reserve in line with current approved policy that one-off windfall amounts be transferred to reserves. The Director of Corporate Support would still recommend that this policy should be continued at the present time.

13.2.5 Care First

The Carefirst project is a key IT project in driving improvements to safeguarding within Adult Social Care and Children's & Families Social Care. The Financial implication of this was previously reported at approximately £700k in 2009/10 and £800k in 2010/11. However, the project implementation is being prioritised and reprofiled to more affordable levels and the financial implications will be reported initially to CMT and as part of the next monitoring report. Community Services and Children's Services continue to explore options to resource and finance the project.

13.2.6 LABGI

The Council has been advised that it has been awarded £0.229m for 2009/10 under the new LABGI scheme. This represents a significant reduction on amounts received in previous years and representations on the method of allocation were made to the relevant Government Department. Given the current adverse variation forecast, a decision on the use of the LABGI monies will be made once the final position for the year is known.

13.2.7 Corporate Impact of Stock Transfer

The anticipated increased costs to the Council's General Fund for the current year following the transfer of the Council's stock on 20 November is £0.728m. This has not yet been reflected within the forecast outturn figures. Cabinet have previously been advised that the Council successfully negotiated monies from the Vat shelter and RTB receipts to offset these costs but that these receipts had to be treated as capital receipts whilst the majority of the pressures were revenue in nature. Officers continue to explore options to minimise the funding mismatch, including the Capitalisation Direction applications outlined above. An update on the financial costs and other issues such as land transfer is outlined in Section C.

13.3 Risk Management (2) - Review of Reserves, Balances & Provisions

13.3.1 Working Balance

The Council's Working Balance currently stands at £11.739m which equates to 5.9% of net revenue spend. The working balance is forecast to reduce to £11.517m at the end of the year. This is within the tolerance levels within our Medium Term Financial Strategy, (March 2009), and remains in line with the Unitary Authority average. Any revenue overspend at the end of the year would have to come from the Working Balance.

13.3.2 Reserves

The Council has created a number of specific reserves in order to plan in advance for known and anticipated future revenue costs. At 1 April 2009, these reserves stood at £23.631m. The total on these reserves will fluctuate during the year as they are used to meet ongoing pressures. The balance at 31 March 2010 is currently forecast to be £17.136m, an increase over that previously reported of £2.952m.

The main movement during the period is in relation to the Corporate Improvement Reserve where £1.248m in respect of approved schemes, as shown in Table 9 below, is now forecast to slip into 2010/11.

Table 9

Slippage £000	Initiative	Reasons
500	Develop high quality places to learn	Slippage of Building Schools for future monies to 2010/11 following delayed approval of programme by Central Government
130	Asset Review	Underspend on original projections
130	Improve Customer Service	Complaints module Phase 1 likely to slip to 2010/11
100	Better & more affordable housing	Limited progress made on developing the Local Housing Company as this needs to be linked to the Local Investment Plan (first draft due March 2010)
120	VFM	Slippage on SLA development training for project work, corporate property data base & environmental sustainability
46	Reducing inequalities between Communities	Due to a delay in the appointment of a project officer for the work around localities
222	Other	Mainly slippage to 2010/11 on various activities
1,248	Total	

These are being reported for information only and, subject to approval to the slippage by the CIP Board, no approval is required from Cabinet to slip the monies between years.

In addition to the Movement on the Corporate Improvement Reserve outlined above, there will be an increase in the transfers to reserve of £1.684m as a result of the successful claims for VAT reimbursement (see section 13.2.4), and additional interest from the Heritable bank deposit of £19,646 (see section 3.10).

A review of all reserves will be undertaken as part of the Council's corporate health adjustments once the final outturn position for the year is known.

13.3.3 Provisions

The Council has a number of budget provisions set up to meet known liabilities. The main provisions relate to the insurance fund, specific provisions set up for the backdated costs of JE and JE appeals and the HRA gas servicing ex-gratia payments, together with a number of bad debt provisions. The level of provisions are kept under review during the year and details of the latest balances were included within the last monitoring report. There has been no significant change during the period.

The final level of provisions for the year will be reviewed as part of the corporate health adjustments undertaken during the year-end process. Any increases or decreases required will be reported to Cabinet within the final monitoring report for the year.

13.3.4 Contingent Liabilities

In addition to the specific reserves and provisions outlined above, there are a number of areas that may result in a financial liability to the Council but which cannot be quantified both in terms of costs and timing with any certainty. In the main these relate to legal claims against the Council or guarantees given by the Council to its subsidiaries and associates. The Council is required to disclose all contingent liabilities in a note in the Statutory Statement of Accounts.

During the year contingent liabilities are kept under review and details reported to Audit Committee as part of the risk register monitoring. Many of the items contained within contingent liabilities have already been reported within the relevant sections of this report. Where considered appropriate, the Director for Corporate Support will make recommendations for budget provisions or transfer to reserves as a corporate health adjustment, reporting any action required to Cabinet as part of the monitoring reports.

The main contingent liabilities currently reported are:

- Municipal Mutual Insurance Ltd- Scheme of Arrangement- the company experienced trading difficulties and is working towards a 'solvent run off' until all outstanding claims settled, but there is a potential clawback arrangement if the company becomes insolvent, whereby the creditors would be required to pay a proportion of the claims paid. These claims totalled £1.286m at 31 March 09.
- PLUSS Organisation Ltd – the Council has guaranteed payments into the pensions fund for transferred employees, has also provided a loan of £0.235m and jointly agreed a bank overdraft facility with Torbay and Devon County Council.
- Section 117 refunds- the Council discloses a potential contingent liability in respect of claims for reimbursement from self funders, although the last claim submitted was in 2003.
- Single status equal pay claims – see 13.2.2 above.
- Civic centre – a contingent liability disclosed whilst the future of the building remains subject to uncertainty.
- Connexions – the Council has guaranteed to meet an element of pension liabilities should the organisation be wound up.
- Contaminated land – potential liability for clean up costs especially if land transferred to developers.
- Treasury Management – Investment losses
- Warranties included within the Housing Stock Transfer agreement, including environmental and asbestos warranties.

13.4 Partnership – Accountable Body Schemes – DRCP

The final grant allocation for the year has now been confirmed as £7.621m. Actual spend to the end of November 2009 was £2.150m with claims yet to be processed totalling £0.042m. The programme remains high risk not least because much of the spend is profiled to the last two quarters of the year. High risk, high value schemes have now been put onto monthly claims to enable earlier warnings of potential underspends in the year. Monthly meetings continue to be held with officers from DRCP, PCC and GOSW.

The 10 year programme enters its final year in 2010/11 and attention is now focussed on completing major capital schemes and succession planning for post NDC grant. Cabinet have received and approved in principle the succession plan for DRCP and the necessary paperwork has been submitted to DCLG, who have undertaken their initial assessment of the proposals and queries and questions raised as a result are currently being addressed. However the Council's approval remains subject to the following conditions, and whilst Officers of both the Council and DRCP have met to discuss a way forward, conditions 1, 2 and 4 in particular still remain to be fully resolved.

1. Completion of financial checks to include Neighbourhood Manager costs, Devonport Community Land and Leisure Trusts and their asset bases.
2. Discussion and agreement to the letter of intent between DRCP and the City Council.
3. The approval of Communities & Local Government of the Devonport Community Land Trust Governance arrangements.
4. Completion of the review into the future use of Parkside by the Director for Corporate Support.
5. Subject to agreement that we will be engaged in the discussion between DRCP and DCLG during the period end of October 2009 to April 2010.

Section C – Housing Revenue Account and Stock Transfer Update

14 HRA – Revenue Budget

- 14.1 Following the stock transfer on 20 November 2009, Officers have commenced the closedown entries for the account, with a priority being focused on agreeing the apportionment of arrears and prepaid income with PCH. This work is due to be completed in early January 2010. It is still expected that pending all the residual entries to the HRA account post transfer, a working balance of at least £2m will remain.
- 14.2 One of the next steps will be to ensure the necessary consent is in place to transfer residual properties, namely the SHIP hostel and Raglan Court to the Council's General Fund and transfer of remaining decanted properties at Devonport to Devon & Cornwall Housing Association. This will enable an application to be made to the Secretary of State (S of S) to formally close the HRA. It will also be necessary to transfer any HRA land that did not transfer to PCH from the HRA to the General Fund, but this does not require S of S approval. The final subsidy claim will be submitted in September 2010 and, subject to the auditors being satisfied that the claim is correct, formal closure would be effective and any balances on the HRA would then transfer to the General Fund.

15 HRA Capital Programme

- 15.1 The latest approved capital programme for the year is £7.991m which was based on an apportionment of HRA ringfenced capital resources available up to transfer date. Work to finalise the capital entries as at transfer date is ongoing. Spend up to the end of November was £6.604m but current indications are that spend up to transfer date, including outstanding commitments that remain with the City Council, will be £7.662m. In addition under the transfer agreement, the City Council has undertaken to transfer resources to PCH to enable completion of the decanting works at Devonport. This figure is currently being finalised between the two organisations in the light of the estimated outturn.

16. Stock Transfer Update

- 16.1 A report was taken to Council on 26 October 2009 outlining in detail the stock transfer proposals in relation to the transfer of the Council's housing stock to Plymouth Community Homes. The formal transfer took place on 20 November 2009.
- 16.2 The 26 October report outlined that the transfer would have significant financial implications for the Council with the estimated costs to the Council over the first full 5 years being £20.1m. The report also outlined that Officers had successfully negotiated monies from the VAT shelter agreement and RTB receipts estimated at £17m during the same period, as shown in table 10, leaving a net 'deficit' of £3.0m.

Table 10

	Year 1 2009/10 £m	Year 2 2010/11 £m	Year 3 2011/12	Year 4 2012/13	Year 5 2013/14	Year 6 2014/15	Total
Corporate Impact	0.7	0.7	3.2	3.4	3.4	3.4	14.8
Pensions Deficit payt			1.3	1.3	1.3	1.3	5.2
Total cost to GF	0.7	0.7	4.5	4.7	4.7	4.7	20.0
VAT Receipts *	(0.5)	(3.1)	(3.0)	(3.1)	(3.1)	(2.4)	(15.2)
RTB Receipts *	0	(0.1)	(0.3)	(0.4)	(0.5)	(0.5)	(1.8)
Total Receipts	(0.5)	(3.2)	(3.3)	(3.5)	(3.6)	(2.9)	17.0
Net Effect	0.2	(2.5)	1.2	1.2	1.1	1.8	3.0

- 16.3 However the report also stated that under current regulations VAT shelter and RTB receipts must be treated as capital receipts whilst the majority of the pressures remain revenue in nature. Officers continue to work up options to minimise the impact of the funding mismatch, including the submission of a number of Capitalisation Direction requests (outlined earlier in the report). Officers also continue to press for a change in the Regulations under section 9 (3)(a) of the 2003 Local Government Act 2003 which would permit the Council to treat Vat shelter receipts as revenue.

The outcome of the Capitalisation Directions will not be known until the end of January 2010.

- 16.4 Further to the report of 26 October 2009, a period of intense and delicate final negotiations followed in the weeks leading up to the transfer, with the final agreement being signed on 20 November 2009. The sections below highlight the proposals which were amended in the final negotiations and where applicable their anticipated financial impact. The stock transfer Corporate Impact Board were advised of, and approved, the changes prior to the formal transfer.

16.5 VAT & RTB Receipts

Whilst the VAT & RTB sharing position reported to Council on 26 October did not change in the final negotiations, PCH's funders required a waiver to be inserted into the agreement. The waiver clause deals with the Council's entitlement to money being waived if PCH's lender is enforcing security over the property and/or to recover any funds advanced under the terms of the Loan Agreement ie if PCH get into severe financial difficulty.

The waiver therefore states in these circumstances that the Council waives any entitlement to any payments under the VAT or RTB sharing agreement. It should be noted that this would only be in circumstances where the bank was looking to recover funds advanced under the terms of the loan agreement and, in reality, before it ever got that far the HCA, the Tenant Service Agency (TSA), the bank, the Council and PCH would all be discussing how the situation could be retrieved.

There is however a risk to the Council on the VAT shelter, in that if the bank takes action to enforce their security then for a short period of time until the property is transferred to another RSL and the VAT starts to flow again, the Council's share of VAT receipts will stop.

Whilst the Council fought hard against agreeing to this waiver, the risks to the Council as a result of the final agreed wording is considered manageable and minimal.

With regard to the RTB Sharing Agreement, the risk to the Council is during the period to 31 March 2015 when any receipts are to be shared equally between the Council and PCH. After this date the Council will receive 100% of the next £10m of any receipts up to and ending on 31 March 2039.

16.6 Pensions Shortfall

The Council report outlined that the Council was required to ensure the pension fund for staff transferring under TUPE arrangements was fully funded at transfer date, and that an indicative deficit figure of £19.19m had been provided by the Pension Fund actuaries. The pensions fund deficit was subject to much scrutiny and discussion during the final week of the transfer process with PCH funders, RBS, culminating in a revised valuation being requested. As a result the pensions deficit increased to £23.67m, in part due to additional staff being included on the transfer list. A transfer of assets to this value will now be made from the Council's pension fund to the PCH element of the fund. This will increase the impact on the Council's annual contribution by approximately £0.1m per annum from that previously reported, but this will not impact until 2011/12.

The next pensions fund triennial revaluation is due to commence in March 2010. In order to calculate the deficit the actuaries had updated for economic conditions including forecast investment returns, but had not completed a full review of all assumptions such as longevity and mortality rates. As a result RBS required a clause to be inserted within the transfer agreement requiring a full revaluation to be undertaken as part of the next triennial revaluation backdated to transfer date to the effect that:

- should the deficit be found to be higher than £23.67m, additional assets will be transferred from the Council's element of the pension fund to the PCH fund, subject to a maximum of £1.5m;
- should the deficit be lower than £23.67m, an equivalent amount of assets will be transferred back to the Council's element of the pension fund, with no maximum ceiling.

16.7 Staffing Implications / TUPE

The number of staff transferring to PCH reported to Council was 515, however it was known that this figure would change due to leavers and new starters, the final number of staff who transferred to PCH under TUPE was 512.

16.8 Employment clauses

To meet PCH's funders requirements, the Council were required to give a number of employment warranties as follows:

- As part of the agreement relating to the transfer of staff under TUPE, the Council agreed to retain liability for existing employment claims and also employment claims relating to the transfer, for 3 years from the Completion Date. The Council also retained liability in the event that the partners of on-call supervisors bring equal pay claims on the basis that they have not been receiving the minimum wage.
- The Council has agreed to indemnify PCH against liabilities which arise in relation to the number of employees who are to transfer to PCH at the end of any Service Level Agreement increasing beyond the number anticipated and agreed by PCC and PCH at the Completion Date ie the deferred TUPE list set out in the transfer agreement.
- The Council agreed to indemnify PCH against any claims against them which arise out of any failure on the part of the Council before the Completion Date to undertake a job evaluation exercise in accordance with the NJC Local Government Services Implementation Agreement 2004 and to implement a pay structure which complies with the Equal Pay Act 1970. Claims that the Council has not complied with its obligations referred to as regards job evaluation will also fall at the Council's door.

16.9 Assets to Transfer

There were a small number of changes to the list of assets transferring to PCH. These were as follows:

- Raglan Court
It was originally agreed to transfer Raglan Court to PCH who would then lease the property back to the Council to use as temporary accommodation. However, on closer scrutiny of the detailed proposals it was considered that this arrangement would not be a cost effective option for the Council and the building was retained by the Council.
- North Prospect sub soil
One of the final issues to be negotiated was whether the sub soil at North Prospect should transfer to PCH or be retained in the Council's ownership. The discussions centered around the redevelopment proposals and agreement was finally reached that the subsoil would transfer to PCH with the exception of the four major roads within North Prospect estate (Wolsely Road, North Prospect Road, Ham Drive and Beacon Park Road), which have been retained by the Council.

Further clauses were inserted placing an obligation on PCH to allow access to Highways for any statutory work to be undertaken and to allow the Council to have requested sub soil back within a period of 28 days as necessary.

- Miscellaneous Assets
Plymouth Community Homes agreed to pay the Council £0.025m for the plant and machinery held within the Manufacturing Division.
- Vehicles
The transfer agreement included a schedule of 101 vehicles that would transfer to PCH. PCH agreed to meet the outstanding loan costs for the vehicles, totalling £0.487m.

16.10 Arrears

The original report outlined that the HRA was showing an element of uncollected income (arrears) in respect of housing rents, shop rents, service charges and other general debtors, and, that normal practice in a stock transfer is that an element of arrears at transfer date are sold (assigned) to the RSL, with any residual debt remaining with the Council. The following protocol on sharing of arrears was agreed with PCH:

Income Source	Assigned to PCH	Valuation Basis
<u>Rents</u>		
Current Tenants	Y	CIPFA average formula
Former Tenants	Y	Nominal sum £1
Housing Benefit	N	PCC liability
Overpayments		
<u>Court Costs</u>		
Current Tenants	Y	50%
Former tenants	Y	0%
Miscellaneous accounts	Y	0% Accounts on rent accounting system
<u>Service Charges</u>		
Capital accounts	Y	85% for 2009/10 and 50% prior year accounts
Capital Bills not yet raised	Y	90% of amounts due
Revenue	Y	90% current year and 50% prior year accounts
Debtors	N	PCC liability
Commercial rents	N	PCC liability

The transfer agreement outlined that the final position on arrears would be reconciled within a 28 day period, based on working days, ending in early January 2010. This work is in progress.

16.11 Capital Programme

As a result of the delay in the transfer date, additional capital resources became available under the HRA subsidy Major Repairs Reserve formula. Although capital works continued in the interim period to ensure consistency of workflow, as the cost of these works were already included within the transfer proposals and approved funding, an agreement was reached that £0.500m of these resources would remain for the benefit of the Council.

16.12 Manufacturing Indemnity

PCH's funders requested that PCC gave an indemnity on any future claims that may arise on any defective windows that were installed whilst in the Council's ownership. The Council had no choice but to provide this indemnity but are confident that this will never be called upon.

16.13 Asbestos Protocol

It was reported to Council that because of the significance of asbestos, PCC would need to give PCH an indemnity for 20 years agreeing that if the cost of asbestos works exceeds the cost currently in their business plan of £10m the Council would meet any additional costs. Additionally if any personal injury is caused to residents due to asbestos currently in the properties, the Council will be liable for claims brought within 30 years. These terms are usual in stock transfers and were agreed prior to the report to Council, however, in order to protect the Council as much as possible, the Council undertook due diligence on PCH's property information and an asbestos protocol was written to further protect the Council.

Following negotiations with PCH the significant provisions within the protocol are as follows;

- Type 3 surveys must be carried out, ensuring the asbestos within a property can be viewed and work undertaken as an overview rather than revisiting.
- PCH to update PCC every 6 months on works, allowing an early indication of whether costs are going to escalate.
- 3 quotations are required for all work

16.14 Disposals Clawback

Although the disposals clawback remained in essence as detailed in the Council report, a slight change was requested by PCH's funder in that the funder requested that they also be consulted as well as the Council should PCH sell any land or property.

16.15 Service Level Agreements (SLA's)

Negotiations continued on SLA's up until transfer and a small number of changes to charges were agreed and the terms of two of the SLA's were changed:

- The term of the Management of Shops SLA was agreed to 31 December 2010 (previously 31 March 2011) and the charge for the service was agreed at £0.108m pa as opposed to PCC's initial proposal of £0.168m.

- The IT SLA was agreed at PCC's proposed charge of £0.820m pa but PCH has the option to terminate in March 2010 and then to have further break clauses at 3 monthly intervals. IT staff are currently working up the transition plans with PCH and it appears unlikely that March will be an achievable date, June is a possibility but given what needs to be achieved to complete the full transition September is probably a more realistic target to complete the full transition/handover. If it is assumed that the SLA ends in September 2010 then there will be a net loss of income of approximately £0.109m in 2010/11.
- The reverse charge for the Cashiering SLA ie where PCH charge PCC for collection of Council Tax, Housing Benefit overpayments etc at PCH's housing branch offices was reduced from PCH's proposed charge of £0.090m pa to £0.050m pa.

16.16 Deferred TUPE

A schedule of support service posts that will transfer to PCH at the termination of the SLA's formed part of the transfer agreement and following negotiations around the SLA's, the Council identified an additional 3 posts that needed to be included on the deferred TUPE list. This will result in an estimated saving to the Council of approximately £0.090m pa on the termination of the SLA's.

16.17 Revised Financial Implications

All detailed costs, including those financial implications outlined above, will be scrutinised as part of the Council's budget challenge and an updated financial position will be reported in the next bi-monthly performance and budget monitoring report.

Section D – Treasury Management Activity

17. Loans and Investments

Borrowing

17.1 The Council's loans at 30 November 2009 were:

	Principal O/S £000	Average Rate %
PWLB (Public Works Loan Board)	30,129	5.7265
Market Loans	130,000	4.4202
Bonds	83	3.4458
Temporary Loans	45,075	0.3522
Total Borrowing:	205,287	3.7183
Add Devon Debt @ 30/11/09	34,361	5.4000
Total Debt 30/11/09	239,648	3.9595

17.2 The following borrowing limits for 2009/10 were approved by Council in March 2009:

- Authorised limits £406m
- Operational Boundary £430m

17.3 The maximum borrowing outstanding in the period 1 October 09 to 30 November 09 was £318.74m on 20 November 09. This was within both the authorised limit and the operational boundary.

17.4 Following the Stock transfer, the Department of Communities and Local Government (DCLG) repaid the HRA proportion of the Council's Public Works Loan Board debt totalling £91.37m, together with an early redemption premium of £25.94m which has led to a significant reduction in the Council's debt. Whilst the reduction in debt is a positive step, the Council's revised debt portfolio has a significant proportion of market loans which we will look to address over time.

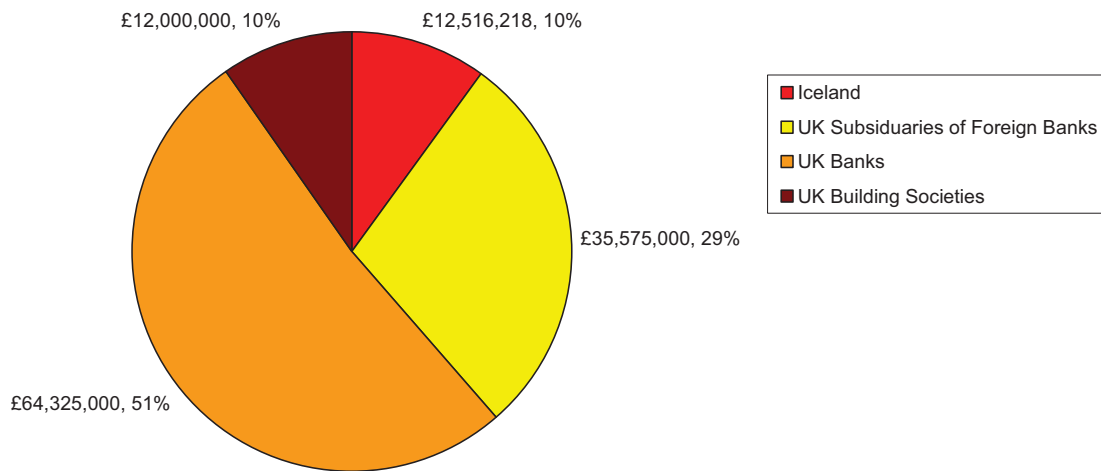
17.5 At the end of November 2009 the Council's borrowing stood at £239.65m, including temporary borrowing taken to cover cash flow requirements. This represents a reduction of £166.08m since the 1 April 2009 and is in line with the Council's policy to reduce debt.

17.6 The current borrowing strategy for the remainder of 2009/10 will be to continue maximise cash flow and maturing deposits to finance the capital programme taking low rate short-term loans as required, delaying the need to take out additional long-term loans at relatively high interest rates.

Investments

17.7 At 30 November the Council’s investments stood at £124.416m. This has fallen from £133.886m at 30 September due to a number of maturing deposits used to repay short term borrowing and cover cash flow requirements. The pie chart below analyses the investments by country.

**PCC DEPOSITS BY COUNTRY AT 30th NOVEMBER 2009 - Total Deposits
£124,416,218.38**



17.8 The investment level is forecast to fall to £73.425m by 31 March 2010 as the Council continues its policy to reduce debt and investment levels in the current financial climate.

17.9 Investments are made short term to cover cash flow and liquidity requirements and longer term to maximise and guarantee future income. In line with our investment strategy for 09/10 the following longer term deposit was taken during the period.

Amount	Start Date	End Date	Term (days)	Rate %
£5.0m	03/11/09	02/11/10	364	1.80

17.10 The above deposit was taken above target rates and increased the forecast return on investments in 09/10. However current market conditions continue to put pressure on the Council’s treasury management activity. In line with cash flow requirements to year end, deposits will be made in shorter period maturities and call accounts at rates below target. This is reflected in the budget monitoring for corporate items.

- 17.11 On 11 January 2010 Abbey, along with Alliance & Leicester and Bradford & Bingley will be rebranded as Santander. These banks have been part of the Santander group for some time however they will now lose their distinct brand name. Abbey is the named institution which can avail of the UK Government's Credit Guarantee Scheme. From 11 January Santander UK plc (the new name for Abbey) will be the named institution within this scheme. From this date the Council's lending list will be amended to reflect this change in name. All other procedures for deposits with this bank will remain unchanged.
- 17.12 All new investments are made in accordance with the approved counter party list, for varying periods up to a maximum of 12 months, based on liquidity requirements and the overall investment maturity profile.
- 17.13 Treasury Management Code of Practice and Prudential Code

CIPFA have recently published an updated Treasury Management Code of Practice and a revised Prudential Code. These publications outline the principles that should be followed by local authorities for borrowing and investments. The Treasury Management Code in particular concentrates on Investments whilst the Prudential Code covers borrowing strategies. Both of the codes contain a number of new recommendations and whilst the codes themselves remain a best practice guide and do not have a statutory force, the main recommendations have been adopted by CLG and incorporated into Capital Finance Regulations, currently issued in draft for consultation. The revised codes include recommendations from both the House of Commons Select Committee and the Audit Commission following their report 'Risk and Returns'.

One of the new recommendations arising from the House of Commons Select Committee report relates to scrutiny of the treasury management function. The report stated:

"All Local Authorities should have an Audit Committee with specific responsibility for the scrutiny of the Treasury management function"

"Audit Committee members should take their responsibility for that scrutiny seriously and need to ensure they are properly trained".

A formal training session has been scheduled for 22 January 2010 for Audit Committee Members (mandatory attendance) and will be extended to Scrutiny Chairs and Cabinet Members as a minimum.

In addition to the recommendations above, the other main recommendations include:

- Investments priorities should be Security and Liquidity rather than Yield.
- Strategies must be taken to Full Council before the start of the financial year but Councils should consider revised strategies during the year where appropriate.
- Investment Strategies should be formally published.
- Authorities should not just rely on credit ratings but on a wide range of information including the internet.
- Authorities should comment on the use of Consultants and the limits on their liability within their strategies.
- Authorities should comment on investment of borrowing in advance and the risks associated with this.

The revised Borrowing and Investment strategies are currently being prepared and will be available for Audit Committee at the training session. The strategies form part of the normal budget process and will be presented to Cabinet, together with the budget report in February, and full Council in March.

Section E – LAA

18. LAA

18.1 Progress against the Local Area Agreement (LAA) targets is reported to the Local Strategic Partnership (LSP) Executive, Board and LSP individual theme groups on a quarterly basis. This report therefore includes the summary position as at the end of September 2009, as presented to the LSP Executive on 25 November 2009. The following paragraphs highlight some of the main issues arising and more details are shown in Appendix C. Achieving all of the 2007-10 stretch targets would enable £7.4m of performance reward grant (PRG) to be claimed over 2010/11 and 2011/12. However based on the current performance levels it is anticipated that at least £3.2m of the performance reward grant is now high risk and unlikely to be claimable. Where targets are 2007-10 stretch targets, the figure for PRG at risk is provided below.

18.2 Health Targets

- NIs 135, 136 and 141 (Adult Social Care) targets are performing well and on track to achieve by the end of the LAA period
- Targets relating to adult activity levels are also on track and if the Active People Survey results this year confirm the trend we should lever in £618K of Reward Grant.
- Alcohol and young people – this stretch target is highly unlikely to be met due to the unrealistic scale of improvement required in the timescale. (£247K at risk)
- Emergency bed days – detailed action plan in place to try and recover this stretch target but the colder than usual 2008 winter and swine-flu pandemic put this target at risk. (£618K at risk)
- Smoking cessation – despite significant additional activity by the Smoking Cessation Service and increased referrals, the two stretch targets are unlikely to be met. (£618K at risk)
- NI 56 childhood obesity – the stretch target is not likely to be met, increased coverage of the Year 6 pupil survey has increased the recording of obesity prevalence, i.e. as a greater proportion of children are weighed, it appears that a greater proportion of obese children are identified. (£309K at risk)
- NI 112 teenage conceptions - a more focussed plan has been embedded and there is significant change in activity across the City but this target is unlikely to be met.

18.3 Wealthy Targets

- Stretch targets relating to getting IB claimants back to work are on track to achieve by the end of March and deliver £618K of Reward Grant
- Housing targets relating affordable homes are on track to achieve (NI 155) by the end of the LAA period although there has been a quarterly dip in performance
- Net additional housing targets (NI 154) are performing less well due to the slow-down in the housing market. We are seeking a re-negotiation with Govt. around what we can achieve within the scope of our local market recovery actions

18.4 Safe & Strong Targets

- Crime targets within this theme are generally performing well. Our stretch targets for prolific offenders are well on track and both violent crime (NIs 20 & 32) and acquisitive crime (NI 16) are down.
- Stability of placements for children in care – impacts being felt resulting from the Baby P case and the Southwark judgement which bring more young people into the

care system, range of responses now in place but difficult to resolve in the short term.

- Domestic abuse reporting – the stretch target to increase reporting is at risk despite increased third party reporting. (£247K at risk)
- Numbers entering drug treatment – there are data issues relating to the 2008/9 financial year, but it is apparent that we do not have sufficient numbers entering drug treatment to reach this target. Further data cleansing is underway. (£111K at risk)
- NI 192 Recycling levels – currently showing amber, there is a significant risk that the target for the year will not now be met.

18.5 Wise Targets

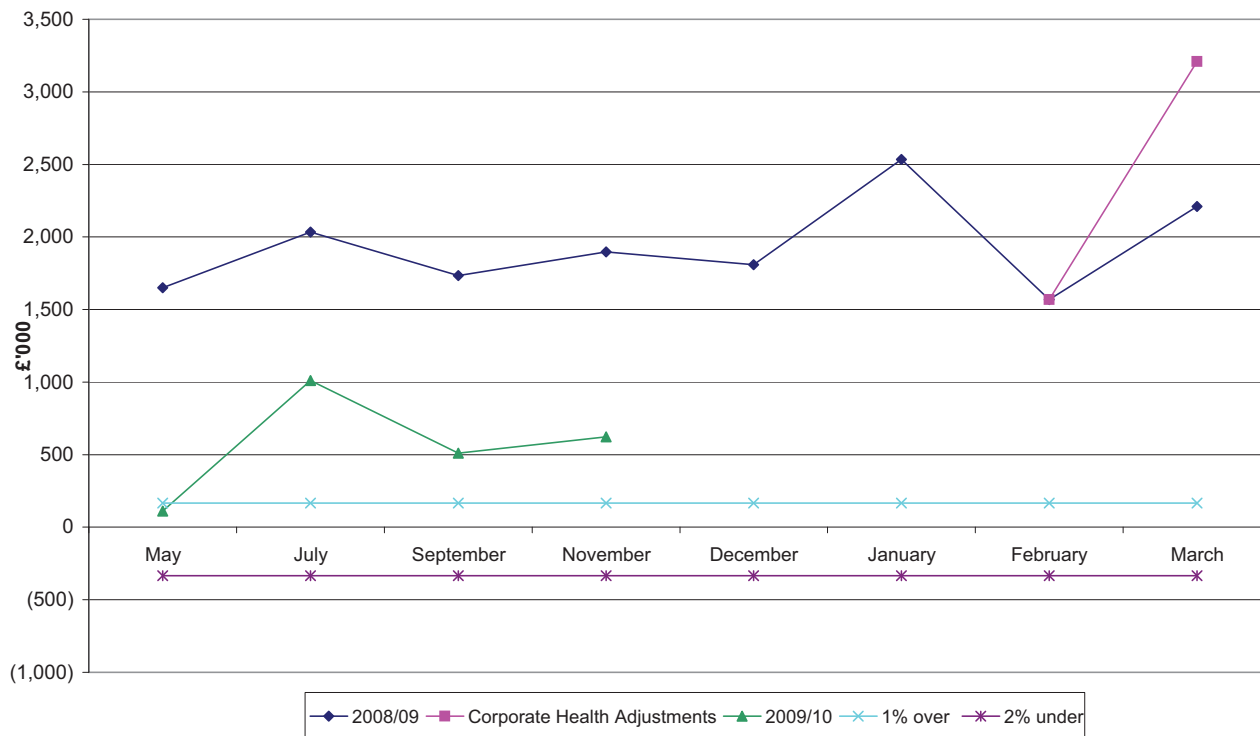
- Adult skills levels (NI 164) are currently on track to achieve.
- Attainment of Children in Care - The latest validated data for education attainment for children in care shows 41% children in care attaining Key Stage 2 English and 32% attaining Key Stage 2 Maths. This performance is based on results from summer 2008. Validated results from 2009, due early in January, show significant improvements, borne out from individualised support to children with more than 50% achieving the target level. It is important to note that the very small size of cohort means that year on year comparisons should be treated with caution.
- NI 117 NEETs – The number of young people aged 16-18 who are not in education, employment or training is 6.9% This has been achieved against a difficult regional and national position. Early indications are that there has been a significant rise in the post 16 staying on rate. Plymouth continues to experience a declining labour market with the lowest current stock of vacancies for any local authority area in the region. A number of meetings have been held around managing responses to the recession between Connexions and specific employer groups and agencies.

Section F - Concluding Remarks

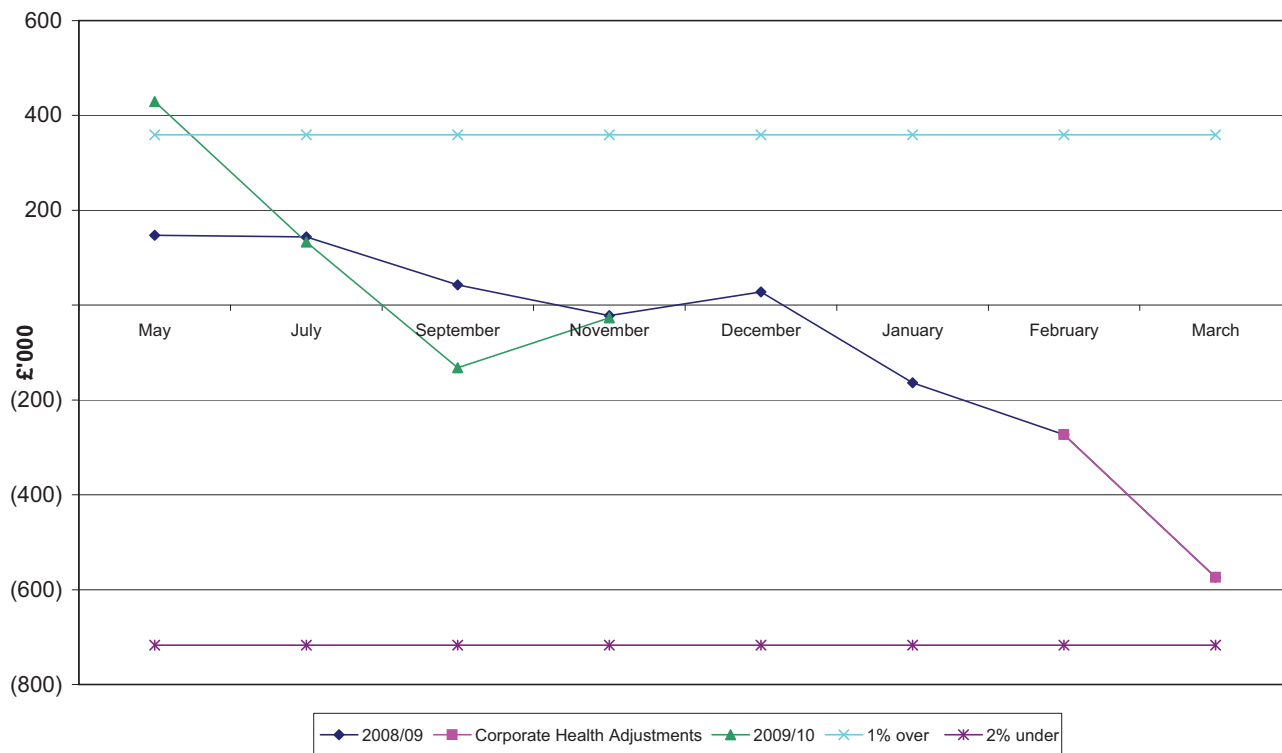
19. Summary

- 19.1 Performance information has been shown in a scorecard format highlighting the overall position of each CIP with narrative provided by each CIP lead to explain any issues arising and actions being taken
- 19.2 General Fund is forecasting a year end adverse variation (or overspend) of £3.081m or +1.6% of net revenue budget.
- 19.3 The revised in-year capital forecast and financing requirement is £88.7m, with actual spend as at 30 November standing at £50.463m.
- 19.4 A working balance of £2m is still forecast for the HRA but the actual amount will not be determined for some months as there remain a number of outstanding financial issues that will need to be addressed post transfer.
- 19.5 A number of the LAA areas are underperforming, meaning that performance reward grant of £3.2m is at high risk.
- 19.6 In the medium to longer term the Council is facing significant pressures due to the national economic situation, the Local Government funding settlement and local demand led pressures.

Development Monitoring Comparison 2008/09 & 2009/10



Corporate Support Monitoring Comparison 2008/09 & 2009/10



SUMMARY CAPITAL PROGRAMME - as at 30th November 2009

	Budget				Expenditure £'000	Financing					
	Latest Approved Budget (September) £'000	New Approvals (October & November) £'000	Re-Profiling (October & November) £'000	Variations (October & November) £'000		Latest Forecast (November) £'000	Capital Receipts £'000	Unsupported Borrowing £'000	Supported Borrowing £'000	Grants, Contributions & Section 106 £'000	Revenue & Funds £'000
Children's Services	56,663		(889)	131	33,872	2,707	5,357	11,266	36,451	123	55,904
Community & Neighbourhood	11,299		(4,727)	60	2,286	1,038	1,525		3,960	109	6,632
Corporate Support	2,407			(6)	1,399	18	71		2,139	173	2,401
Development & Regeneration	22,062	745	(417)	27	6,303	1,002	2,656	4,600	13,839	321	22,417
HRA	7,991				6,604			2,076		5,915	7,991
Grand Total	100,422	745	(6,033)	212	50,463	4,765	9,608	17,942	56,389	6,641	95,345

Appendix B

Directorate	Service	Sub Programme	Sum of Latest Forecast - September	Sum of New Approval October & November	Sum of Re-Profiling October & November	Sum of Virements October & November	Sum of Variations October & November	Sum of Latest Forecast - November	Sum of Actuals to be reported (accruals basis)	Sum of % of LF Spent	Comments	
Children's Services	Completed Programmes: Outstanding Payments	Basic Need Emergency Works	-	-	-	-	-	-	-	-	Lipson CC - Changing rooms for ATP. Delay at feasibility due to constraints of funding available. Likely start on site summer 2010.	
		NOF	110,000	-	(100,000)	-	-	10,000	638	6.38%		
		Planned Modernisation	2,300	-	-	-	-	2,300	2,026	88.09%		
		Strategic Projects	81,688	-	-	-	-	81,688	48,262	59.08%		
		Condition and School Development Works	-	-	-	-	-	-	-	-	-	Victoria Road Roof Works (£60k) - likely start on site Summer 2010. DHSB Roof repairs £4K, Widey Court Temp Refurb £3K
		Condition Works:	499,385	-	(52,500)	(13,727)	(6,752)	426,406	378,616	88.79%		
		Condition Works: Primary	58,945	-	-	-	-	58,945	57,223	97.08%		
		Condition Works: Special Schools	-	-	-	-	-	-	-	-	-	
		Removal of Temporary Classrooms: Primary	1,000,000	-	-	20,000	-	1,020,000	546,802	53.61%		
		Removal of Temporary Classrooms: Secondary	-	-	-	-	-	-	62,682	-	-	
		School Development: Primary	2,173,394	-	-	-	-	2,173,394	1,164,677	53.59%		
		School Development: Secondary	-	-	-	-	-	-	-	-	-	
		School Development: Special Schools	135,026	-	-	-	-	135,026	85,309	63.18%		
		Surestart / Extended Schools / Children's Centres / Families	722,507	-	(200,000)	-	-	522,507	28,597	5.47%	Children's Centres Phase 3. Woodford Children's Centre start on site now anticipated Spring 2010.	
		Devolved Formula Capital	837,640	-	(85,000)	-	-	752,640	709,049	94.21%	Programme carried forward to support phase 2 projects. (Schools Supported Borrowing)	
Children's Services	Devolved Formula Capital	Nursery	106,340	-	-	(13,852)	92,488	15,666	16.94%			
		Other	225,558	-	-	-	231,173	124,971	54.06%			
		Primary	1,430,608	-	173	(229,009)	49,880	1,251,652	604,185	48.27%		
		Secondary	1,089,733	-	-	(325,258)	30,535	795,010	880,923	110.81%		
		Special	227,063	-	-	(17,341)	(39,480)	170,242	29,105	17.10%		
		Children's Social Care	-	-	-	-	-	-	-	-	-	
		Nursery	1,782	-	-	279	(744)	1,317	1,960	148.80%		
		Other	3,212	-	-	(1,121)	2,091	-	-	0.00%		
		Primary	704,233	-	-	430,269	(16,000)	1,118,502	597,744	53.44%		
		Secondary	585,456	-	-	3,001	-	588,457	467,470	79.44%		
		Special	35,942	-	-	8,792	-	44,734	20,796	46.49%		
		14-19 Diploma Gateways & International Baccalaureate	1,983,990	-	100,000	100,000	-	2,183,990	1,609,874	73.71%	Grants to external providers - advance delivery against programme.	
		ICT Projects	513,827	-	-	(2,473)	190,887	702,241	612,400	87.21%	Harnessing Technology Grant - correction to centrally held grant total.	
		School Meals	1,523,551	-	(774,769)	289,500	487	1,038,769	219,595	21.14%	Re-phasing of various kitchen projects due to extended feasibility / design period.	
		Surestart / Extended Schools / Children's Centres / Families	1,897,608	-	(70,000)	(87,065)	-	1,740,543	122,416	7.03%	Woodford Extension works. Start on site now programmed for Easter 2010.	
Other Items	-	-	-	-	-	-	-	-	-			
Other Programmes School Led Projects	School Led Projects	Basic Need	(81,688)	-	-	-	-	(81,688)	(102,413)	125.37%		
		Children's Social Care	191,852	-	-	(15,000)	-	176,852	51,122	28.91%		
		Condition Bid Programme (formerly Seed)	1,564,113	-	(186,500)	(149,853)	(8,825)	1,218,935	981,745	80.54%	Reprofiling - PHSG (£27K) Lighting & Windows. Yealmsstone Farm (£157K), slipped into 10/11. Variations - Widey Court (£4K), Plymstock Windows & Doors (£7K), DHSG Playing Courts £2k	
		DDA / Access Bid Programme	75,000	-	-	-	-	75,000	22,195	29.59%	Playbuilder grant contribution to project reported within Development programme.	
		Other Items	826,402	-	-	-	(50,000)	776,402	71,019	9.15%		

Appendix B

Directorate	Service	Sub Programme	Sum of Latest Forecast - September	Sum of New Approval October & November	Sum of Re-Profiling October & November	Sum of Virements October & November	Sum of Variations October & November	Sum of Latest Forecast - November	Sum of Actuals to be reported (accruals basis)	Sum of % of LF Spent	Comments
		SEN Placement Commitments in Schools	175,527	-	-	15,054	-	190,581	76,731	40.26%	
	Strategic Programmes	Projects)	142,193	-	-	-	-	142,193	3,056	2.15%	
		Development Fund	-	-	-	-	-	-	-	-	
		Diversity	-	-	-	-	-	-	-	-	
		Expanding Popular Schools	212,500	-	-	-	-	212,500	5,444	2.56%	
		Localities	-	-	-	-	-	-	-	-	
		Multi-Agency Working	100,000	-	-	-	-	100,000	19,352	19.35%	
		PFI	2,761,427	-	-	-	-	2,761,427	2,733,413	98.99%	Effort (Highview) £1.5M - Contractor progress ahead of original schedule. Southernway new schools - Completion works and demolition likely to fall spring 2010.
		Primary Capital Programme	17,769,489	-	1,290,503	(3,184)	-	19,056,808	13,032,488	68.39%	PHSG £25K school project elements moved to revenue.
		School Development: Secondary	971,534	-	-	(9,012)	(25,000)	937,522	592,202	63.17%	overall programme affordability risk. Hospital School at Estover Campus £225K - Build start now anticipated 2010 in line with Eslover campus phase 2. Longcause £150K SEN provision - start on site Easter 2010. £150K Covered MUGA (sports) delayed due to affordability
		Secondary Development / BSF	14,247,527	-	-	-	-	14,247,527	7,580,581	53.21%	
		Special Education Needs and Inclusion	1,522,112	-	(810,803)	-	-	711,309	330,256	46.43%	
		Tuition Service	-	-	-	-	-	-	-	-	
		Youth / Adult Learning	234,870	-	-	-	-	234,870	86,685	36.91%	
Children's Services Total			56,662,646	-	(888,896)	-	130,603	55,904,353	33,871,504	60.59%	

Directorate	Service	Sub Programme	Sum of Latest Forecast - September	Sum of New Approval October & November	Sum of Re-Profiling October & November	Sum of Virements October & November	Sum of Variations October & November	Sum of Latest Forecast - November	Sum of Actuals to be reported (accruals basis)	Sum of % of LF Spent	Comments		
Development & Regeneration	Local Transport Plan	Capital Maintenance	1,456,898	-	-	(82,000)	-	1,374,898	41,698	3.03%			
		Demand Management	579,296	-	-	2,500	-	581,796	185,169	31.83%			
		Developer Contribution	-	-	-	-	-	-	-	-	0.00%		
		Dft settlement not yet allocated	26,854	-	-	56,095	-	82,949	-	-	0.00%	Tavistock Road - Reallocation of S106 used in 08/09, releasing SCP for increased costs.	
		Public Transport	1,977,886	-	-	46,424	88,000	2,112,310	292,977	13.87%			
		Regeneration & Urban Renewal	-	-	-	-	-	-	-	-	26.90%		
		Road Safety	202,726	-	-	-	-	202,726	54,528	1.80%			
		Safety Camera Partnership	79,000	-	-	-	-	79,000	1,424	34.75%	Pottery Quay - increased costs.		
		Walking & Cycling	810,367	-	-	(23,019)	7,595	794,943	276,258	43.12%			
		Northern Corridor - A386	735,843	-	-	-	-	735,843	317,310	0.00%			
	Planning	Planning	-	-	-	-	-	-	-	-	0.00%	Stepping stones to nature, additional £10k available from revenue, b/f from 10/11.	
		Housing & Planning Delivery Grant	58,846	-	-	-	-	58,846	-	-	0.00%		
	Transport - Development Projects	Stepping Stones to Nature	Stepping Stones to Nature	94,730	-	10,000	-	-	104,730	-	0.00%		
			Barbican Landing Stage	12,302	-	-	-	-	12,302	(8,185)	-66.54%		
			Cumberland Gardens	370,000	17,717	-	-	-	387,717	73,715	19.01%	Additional S106 available.	
			Granby Green	54,095	-	-	-	-	54,095	46,986	86.86%		
			Other	15,926	183,410	-	-	-	199,336	1,952	0.98%	CCTV at Richmond Walk, funded by DR	
			Plymouth Gateway	-	-	-	-	-	-	-	-	37.44%	
			Stonehouse Regeneration	-	-	-	-	-	-	(12,897)	-	38.05%	Project ahead of schedule. Changes to project management regs requiring legal contract in place before discharge of planning conditions delayed project, pushing into first 2 months of 10/11
			Devonport Street Lighting	372,954	-	-	-	-	372,954	139,643	-	-	
North Stonehouse			-	-	-	-	-	-	-	-	-		
Devonport Heritage Trail			67,500	-	22,500	-	1,000	-	91,000	34,630	-		
Transport - Non LTP	Devonport Park	Devonport Park	1,538,051	-	(399,489)	-	609	1,139,171	244,582	21.47%			
		Eastern Corridor	1,146,405	-	-	-	-	1,146,405	-	0.00%			
		Other	-	-	-	-	-	-	-	-	57.02%		
		West End	2,927,625	-	-	-	-	2,927,625	1,669,310	1.53%			
		A38 Junction Imps	400,000	-	-	-	-	400,000	6,110	49.70%			
Property & Economic Development	Improvement Scheme	East End Community Transport	977,224	-	-	-	-	977,224	486,000	14.82%	Airport £554K, RDA grant claimed on behalf of PCA. Stonehouse CPO, (£50K) project not spending.		
		Commercial Developments	618,690	544,150	(50,000)	-	-	1,112,840	164,942	-			
Strategic Housing	Parks	Disabled Adaptations	-	-	-	-	-	-	-	28.49%			
		HECA Programme Private Sector	417,717	-	-	-	-	417,717	118,996	0.00%			
		Misc Schemes	100,000	-	-	-	-	100,000	-	0.25%			
		Partnership & Affordable Housing	364,309	-	-	-	(70,206)	294,103	739	67.80%			
		Private Sector Grants	2,360,028	-	-	-	-	2,360,028	1,600,069	11.02%			
Environmental Services	Private Sector Regeneration	Private Sector Regeneration	315,663	-	-	-	-	315,663	34,797	0.00%			
		Recycling	85,725	-	-	-	-	85,725	-	13.65%			
Development & Regeneration Total	Waste	Waste	3,895,290	-	-	-	-	3,895,290	531,854	28.12%			
			22,061,950	745,277	(416,989)	-	26,998	22,417,236	6,302,608	-			

Appendix B

Directorate	Service	Sub Programme	Sum of Latest Forecast - September	Sum of New Approval October & November	Sum of Re-Profiling October & November	Sum of Virements October & November	Sum of Variations October & November	Sum of Latest Forecast - November	Sum of Actuals to be reported (accruals basis)	Sum of % of LF Spent	Comments
Community & Neighbourhood	Leisure Culture & Sport	Central Park	7,607,103	-	(4,510,930)	-	-	3,096,173	1,247,922	40.31%	recommended action approved by the Life Centre Programme Board on Monday 14th December and reflects the latest cashflow projections for the project together with current funding proposals.
		Libraries	829,396	-	-	-	-	829,396	118,541	14.29%	
		Mount Edgcombe	307,011	-	-	-	-	307,011	168,798	54.98%	
		Museums	-	-	-	60,407	-	60,407	40,736	67.44%	
		Other Leisure	-	-	-	-	-	-	-	-	
		Plymouth Leisure	1,026,560	-	-	-	-	1,026,560	411,341	40.07%	
		Community Care	576,398	-	-	-	-	576,398	167,917	29.13%	
		Drug Users in Treatment Programmes	-	-	-	-	-	-	-	-	
		Environmental & Regulatory	-	-	-	-	-	-	-	-	
		LPSA	-	-	-	-	-	-	-	-	
Community & Neighbourhood Total	Adult Health & Social Care	Parks	367,857	-	(216,480)	-	-	151,377	58,092	38.38%	Parks @ Hemerdon Heights, Patna Place, Springhill, Beaumont Park, slipped due to changes in resident preference after consultation, and resourcing issues.
		Vehicle Purchases	584,361	-	-	-	-	584,361	72,826	12.46%	
			11,298,686	-	(4,727,410)	-	60,407	6,631,683	2,286,173	34.47%	

Appendix B

Directorate	Service	Sub Programme	Sum of Latest Forecast - September	Sum of New Approval October & November	Sum of Re-Profiling October & November	Sum of Virements October & November	Sum of Variations October & November	Sum of Latest Forecast - November	Sum of Actuals to be reported (accruals base)	Sum of % of LF Spent	Comments
Corporate Support	Human Resources	Human Resources	6,745	-	-	-	(6,745)	-	-	-	HR Payroll system - Project complete, any further spend to be met through revenue.
	Information Systems	Information Systems	1,087,495	-	-	-	-	1,087,495	743,829	68.40%	
	Legal Services	Legal Services	2,497	-	-	-	-	2,497	850	34.04%	
	Property & Economic Development	Corporate Real Estate	1,310,677	-	-	-	558	1,311,235	654,129	49.89%	
Corporate Support Total		2,407,414	-	-	-	(6,187)	2,401,227	1,398,808	58.25%		

Directorate	Service	Sub Programme	Sum of Latest Forecast - September	Sum of New Approval October & November	Sum of Re-Profiling October & November	Sum of Virements October & November	Sum of Variations October & November	Sum of Latest Forecast - November	Sum of Actuals to be reported (accruals basis)	Sum of % of LF Spent	Comments
HRA	Housing Revenue Account	Decency Standards Devonport Disabled Adaptations HRA Capitalised Salaries Major Repairs	4,750,583 1,322,870 401,413 520,034 995,918	- - - - -	- - - - -	- - - - -	- - - - -	4,750,583 1,322,870 401,413 520,034 995,918	4,577,685 212,312 442,418 520,034 851,757	96.36% 16.05% 110.22% 100.00% 85.52%	
HRA Total			7,990,818	-	-	-	-	7,990,818	6,604,206	82.65%	
Grand Total			100,421,514	745,277	(6,033,295)	-	211,821	95,345,317	50,463,299	52.83%	

2007 -10 Stretch Targets and 2008 – 10 LAA 2nd Quarter 2009/10

LAA 2007-10 Healthy Stretch Targets

A Healthy Place to Live and Work - Stretch Targets

High Level Priority	2. Reduction in the under 18 conception rate	● ● ●	8. Decrease the harm caused by alcohol especially in young people	● ● ● ● ●	3. Decrease the year on year rise in obesity levels	● ● ● ● ●	10. Reduce the gap in premature mortality rates	● ● ● ● ●	11. Improve the quality of life and independence of older people	● ● ● ● ●
	Stretch - Teenage pregnancy rate of women aged less than 18 years per 1,000 women aged 15-17 incl. 2007	1. Stretch - % of obesity levels of 11 year olds measured in summer 2007 AY2007/08	Stretch - young people referred and given brief intervention for alcohol misuse Qtr 2nd Qtr 09/10	Stretch - % of adult pop (16-74) participating in 30 mins of moderate physical activity 3 days per wk 2008/09	1. Stretch - Increase the number of pregnant women who quit smoking at 4 wks 1st Qtr 09/10	Stretch - number of bed days which are unscheduled qtr 1st Qtr 09/10				
LAA Targets	2. Stretch - % of schools achieving healthy schools status Qtr 2nd Qtr 09/10	3. Stretch - Young people complete min 6 wks of structured extended intervention for alcohol misuse Qtr 1st Qtr 09/10	3. Stretch - Reduce the year on year rise in alcohol specific hospital admissions 2008/09	2. Stretch - Increase the number of 4 week smoking quitters attending NHS Stop Smoking Services Qtr 1st Qtr 09/10						
	3. Stretch/New Local LAA 16 - % of women breast-feeding at 6-8 weeks post partum by 2% a year Qtr 1st Qtr 09/10	4. Stretch - Hospital admissions in 16-30 years old related to assaults 2007								

Healthy LAA 2008-11

High Level Priority	H1 Reduce health inequalities between neighbourhoods and communities of interest within the city	H2 Prevent illness & promote health & well-being by tackling the underlying causes of ill-health	H3 Improve the quality of life & independence of people living with disability & chronic illness
LAA Targets	<p>L(LAA)01 Reduce the gap in life expectancy between the fifth highest and lowest areas</p> <p>2007</p> <p>↓</p>	<p>NI 056(1) (LAA) Obesity among primary school age children in Yr 6 - Total obese</p> <p>AY2007/08</p> <p>↓</p>	<p>NI 124(LAA) People with long-term condition are independent & in control of condition</p> <p>2007/08</p>
	<p>NI 141 (Local LAA) Percentage of vulnerable people achieving independent living - Quarterly</p> <p>2nd Qtr 09/10</p> <p>↑</p>	<p>NI 112(LAA) Under 18 conception rate</p> <p>2007</p> <p>↓</p>	<p>NI 130(LAA) Social Care clients receiving self directed supp.(direct payments & ind budgets) - Qly</p> <p>2nd Qtr 09/10</p> <p>↑</p>
	<p>NI 141 (Local LAA) Percentage of vulnerable people achieving independent living - Quarterly</p> <p>2nd Qtr 09/10</p> <p>↑</p>	<p>L(LAA)03 % adult population (16-74) participating in 30 mins moderate physical activity once a week</p> <p>2008/09</p>	<p>NI 135(LAA) Carers receiving needs assess/review & a specific carer's service, or advice & info Q</p> <p>2nd Qtr 09/10</p> <p>↑</p>
	<p>NI 141 (Local LAA) Percentage of vulnerable people achieving independent living - Quarterly</p> <p>2nd Qtr 09/10</p> <p>↑</p>	<p>L(LAA)4 Increase in the no of contacts with the primary care mental health service by 10% by 2010 Qt</p> <p>1st Qtr 09/10</p> <p>↑</p>	<p>NI 136(LAA) People supported to live independently through social services (all ages) - Q</p> <p>2nd Qtr 09/10</p> <p>↑</p>
	<p>NI 141 (Local LAA) Percentage of vulnerable people achieving independent living - Quarterly</p> <p>2nd Qtr 09/10</p> <p>↑</p>	<p>L(LAA)05 Emotional Well-being & Mental Health of Children & Child Adolescent Mental Health Services</p> <p>2008/09</p>	
	<p>NI 141 (Local LAA) Percentage of vulnerable people achieving independent living - Quarterly</p> <p>2nd Qtr 09/10</p> <p>↑</p>	<p>L(LAA)17a Proxy Indicator - Regular alcohol use among young people</p> <p>2008/09</p> <p>↓</p>	
	<p>NI 141 (Local LAA) Percentage of vulnerable people achieving independent living - Quarterly</p> <p>2nd Qtr 09/10</p> <p>↑</p>	<p>L(LAA)17b Proxy Indicator - Regular drug use among young people</p> <p>2008/09</p> <p>↓</p>	

LAA 2007-10 Wealthy Stretch Targets

A Wealthy city which creates and shares prosperity - Stretch Targets	
High Level Priority	2. Increase employment and reduce the impacts of poverty and ill-health ● ● ● ● ●
LAA Targets	<p>L Stretch - Number of mental health users with a care plan who come off IB & return to work Qtr</p> <p>2. Stretch - Support 119 IB claimants of 2 years+ into sustainable employment Qtr</p> <p>2nd Qtr 09/10</p>

Wealthy LAA 2008-11

A Wealthy city which creates and shares prosperity						
High Level Priority	W1 Increase both the size and number of businesses in the city ● ● ● ● ●	W2 Reduce levels of worklessness ● ● ● ● ●	W3 Provide infrastructure to support the city's sustainable economic, employment & housing growth ● ● ● ● ●	W4 Increase the supply of new affordable housing ● ● ● ● ●	W5 Manage the growth in congestion and improve accessibility by sustainable modes ● ● ● ● ●	W6 Address the impacts of climate change and move towards a low-carbon economy ● ● ● ● ●
LAA Targets	<p>NI 171(LAA) Proportion of business registrations per 10,000 resident population aged 16 and above</p> <p>2007</p>	<p>NI 151(LAA) Overall employment rate (Working Age)</p> <p>2008/09</p>	<p>NI 154(LAA) Net additional homes provided</p> <p>2008/09</p>	<p>NI 155(LAA) Number of affordable homes delivered</p> <p>September 09</p>	<p>NI 175(LAA) Access to services and facilities between 1 and 3pm</p> <p>2008/09</p>	<p>NI 186(LAA) Per capita CO2 emissions in the LA area</p> <p>2008</p>
	<p>NI 172(LAA) Percentage of small businesses in the area showing employment growth</p> <p>2007</p>				<p>NI 175(LAA) Access to services and facilities between 7 and 9am</p> <p>2008/09</p>	<p>L(LAA)6/(TIE)1 Achieve robust evidence of the impact of sustainable economic and housing growth</p> <p>2008/09</p>

2007-10 LAA Safe & Strong Stretch Targets

		Safe & Strong City Stretch Targets					
High Level Priority	1. Improve the life chances of looked after children ● ● ● ↑					6. Reduce the harm caused by illegal drugs ● ● ● ● ● ↑	
	1. Stretch - Long term stability of children looked after (2.5 years) (PAF D78) qtr 2nd Qtr 09/10 ↓					1. Stretch - Number of people entering drug treatment, as recorded by NDTMS 2007/08 ↑	
LAA Targets	3. Stretch - Stability of placements (PAF A1) Qtrly 2nd Qtr 09/10 ↑			5. Reduce the harm caused by Domestic Violence ● ● ● ● ↓		2007/08	
				Stretch - Number of Domestic Violence incidents recorded by D&C Police Qtr 2nd Qtr 09/10 ↓		1. Stretch - % of people entering & retained in drug treatment for at least 12 wks recorded by NDTMS 2008/09 ↓	
				Stretch - % of DV crimes resulting in Brought to Justice Outcomes Qtr 1st Qtr 09/10 ↑			
				4. Reduce violent crime ● ● ● ● ● ↑			
				Stretch - Reduction in British Crime Survey category Woundings Qtr 2nd Qtr 09/10 ↓			
		3. Reduce re-offending rates ● ● ● ● ● ↓					
		Stretch - Convictions recorded for PPOs on the PPO scheme at 31.3.07 Qtrly 2nd Qtr 09/10 ↓					
		2. Stretch - Reduction in convictions recorded by Police for PPOs on PPO scheme 1.4.07-31.3.08 Qtrly 1st Qtr 09/10 ↓					
		5. Stretch - Final warnings/reprimands & convictions of Children Looked After 2008/09 ↓					

Safe and Strong LA 2008-11

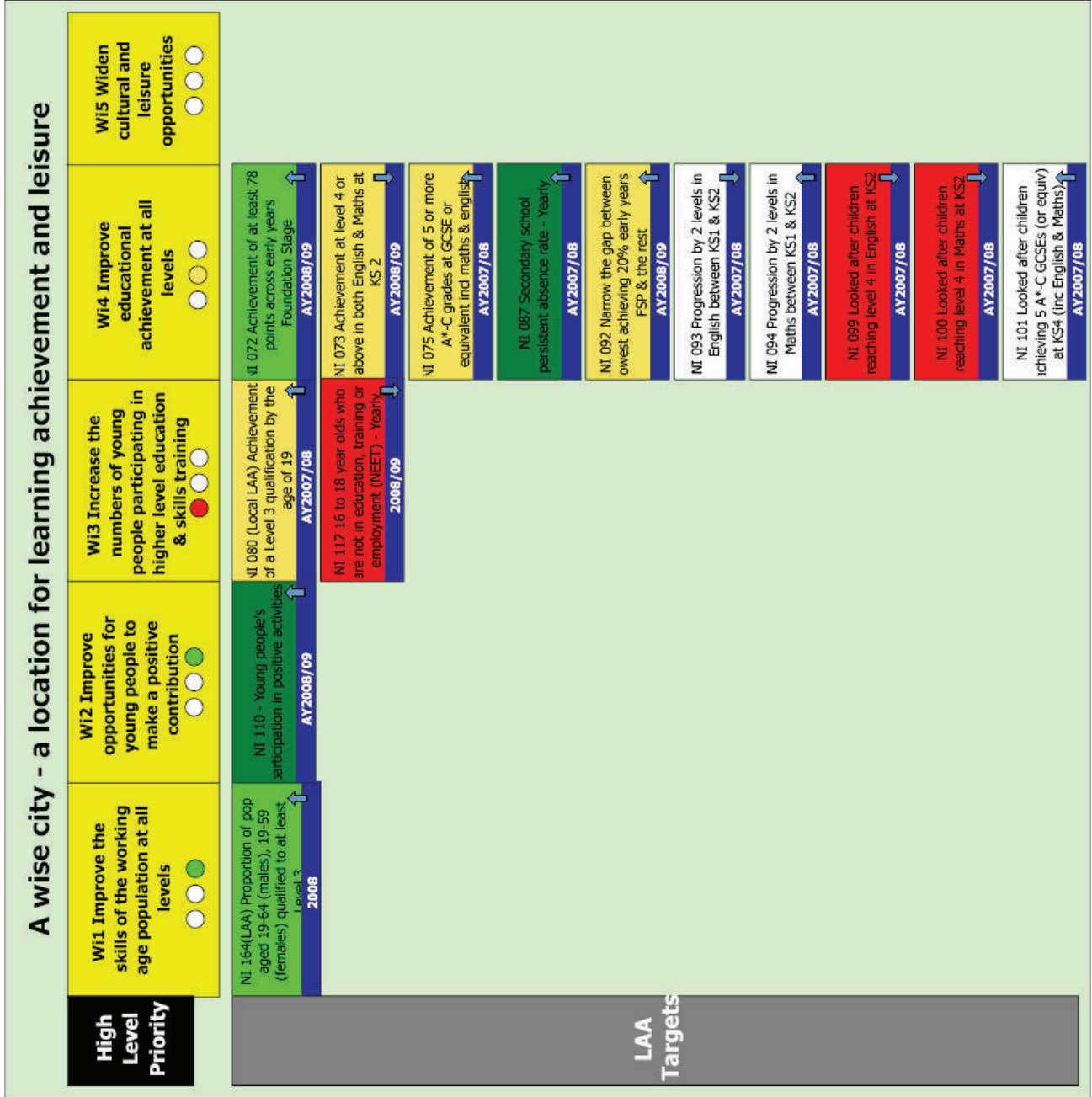
A Safe and Strong City

High Level Priority	SSC1 Promote positive relationships between people from different backgrounds	SSC2 Improve community involvement and engagement in decision-making and service delivery	SSC3 Ensure equity of access to services	SSC4 Safeguard and improve the life chances of vulnerable children	SSC5 Reduce re-offending rates, violence and acquisitive crime	SSC6 Improve levels of confidence in local agencies to tackle community concerns	SSC7 Minimise waste and improve levels of recycling	SSC8 Improve local environmental quality	SSC9 Raise the standards of our existing homes
	<p>NI 001(LAA) % people who believe people from different backgrounds get on well. 2008/09</p> <p>L(UAA)7(LSC)4 % surveyed satisfied with neighbourhood as a place to live (NI Areas). 1st Half Year 09/10</p> <p>NI 005 / L(UAA)8 Participation in regular volunteering. 2006/09</p> <p>NI 007(LAA) Environment for a thriving third sector. 2008</p>	<p>NI 004(LAA) % people who feel they can influence decisions in their locality. 2006/09</p> <p>L(UAA)7(LSC)4 % surveyed satisfied with neighbourhood as a place to live (NI Areas). 1st Half Year 09/10</p> <p>NI 005 / L(UAA)8 Participation in regular volunteering. 2006/09</p> <p>NI 007(LAA) Environment for a thriving third sector. 2008</p>	<p>NI 140(LAA) Deferred - Fair treatment by local services - Yearly. 2008/09</p>	<p>NI 090(LAA) Core assess for childrens social care carried out within 35 wkg days of commencement. September 09</p> <p>NI 064(LAA) Child protection plans lasting 2 years or more. 2nd Qtr 09/10</p> <p>L(UAA)9 Children who have experienced bullying. 2008/09</p>	<p>NI 016(LAA) Serious acquisitive crime rate - Quarterly. 2nd Qtr 09/10</p> <p>NI 018(LAA) Deferred - Adult re-offending rates for those under probation supervision. December 08</p> <p>NI 019(LAA) Deferred - Rate of proven re-offending by young offenders - Quarterly. 1st Qtr 09/10</p> <p>NI 026(LAA) Specialist support to victims of a serious sexual offence.</p> <p>NI 028(LAA) Assault with injury crime rate - Qtrly. 2nd Qtr 09/10</p> <p>NI 032(LAA) Deferred - Repeat incidents of domestic violence - Quarterly. 2nd Qtr 09/10</p> <p>NI 045(LAA) Young offenders engagement in suitable education, employment or training. 1st Qtr 09/10</p> <p>NI 046 / L(UAA)10 Young offenders access to suitable accommodation - Quarterly. 1st Qtr 09/10</p> <p>L(UAA)11 Reduce criminal damage - Q. 2nd Qtr 09/10</p>	<p>NI 021(LAA) Dealing with local concerns re anti-social behaviour & crime by LA & police. 2008/09</p>	<p>NI 192(LAA) Percentage of Household waste sent for reuse, recycling and composting. September 09</p>	<p>NI 195a(LAA) Improved street & environmental cleanliness - Levels of litter - Tri-Annual. Period 1/2009</p> <p>NI 195b(LAA) Improved street & environmental cleanliness - Levels of detritus - Tri-Annual. Period 1/2009</p>	<p>(H)1 Local LAA 12 Housing Stock Transfer. 2008/09</p> <p>NI 187a (LAA) Tackling fuel poverty - % receiving income based benefits in low energy. 2008/09</p>

LAA Targets

2007-10 LAA Wise Stretch Targets

A wise city - a location for learning achievement and leisure Stretch Targets	
1. Improve the life-chances of looked after children	
High Level Priority LAA Targets	2. Stretch - Employment, education and training for care leavers aged 19(PAF A4) 2008/09
	2. Stretch - Employment, education and training for care leavers aged 19 (PAF A4) qtr 2nd Qtr 09/10
	4. Stretch - Employment, Education and Training for care leavers at 16 (local from OC2 return) 2008/09
	7. Stretch - % of 11 year old LAC achieving level 4 in Maths at Key Stage 2 (local OC2 return) 2008/09
	6. Stretch - % of 11 year old LAC achieving level 4 in English at Key Stage 2 (local OC2 return) 2008/09
	8. Stretch - % of 16 year old LAC achieving 5 or more GCSEs grade A*-G 2008/09





Overview and Scrutiny Management Board

Work Programme 2009/10

Topics	A	S	O	N	D	J	F	M	A	M	J
Timetable for all documents for submission to Government, including Cabinet and Council dates, requested. Includes timetable for external inspections.											
Corporate Plan revising and updating							3?				
Capital Strategy and Asset Management Plan							15 & 17				
Capital Programme 2010/11							15 & 17				
Setting Revenue Budget and Council Tax levels 2010/11							15 & 17				
Medium Term Financial Strategy								31			
Joint Finance and Performance monitoring, including LAA performance monitoring	5 Up to 31.5.09		7 15.9.09 Cab Jun/Jul		2 10.11.09 Cab Aug/Sep		3 19.1.10 Cab Oct/Nov	31 16.3.10 Cab Dec/Jan			
Scrutiny quarterly monitoring					2			31			
Overview and Scrutiny Function – development of Scrutiny Handbook											
Scrutiny and LSP protocols					2						
Leader and Chief Executive				4		6					

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Topics		J	J	A	S	O	N	D	J	F	M	A	M	J
Tackling Bullying Strategy														
CAMHS Strategy											25			
Absenteeism and sickness amongst CS staff														
Preventing & Managing Obesity in Young People of Plymouth														
Performance Review (including budget)	Performance Review						19				25			
	Performance indicators causing concern and what localities they occur in*													
Complaints and Compliments Annual Report							19		28					
Quality Assurance Framework											25			
School Attainment Results									28					
Strategy for Change Building Schools for the Future	Forward Plan item FP 31 09/10 'Building Schools for the Future – Governance'										25			
	Strategy													
Youth Justice Plan*														
Children and Young People's Plan (including CYPP Priorities and Annual Performance Assessment)														
Forward Plan item FP 40 09/10 'Information advice and guidance service for young people'									28					
Local Democracy Week														
Quarterly Scrutiny Reports							19				25			

* new municipal year



Customers and Communities Overview and Scrutiny Panel

Work Programme 2009/10

Topics	J	J	A	S	O	N	D	J	F	M	A
Licensing Authority Policy Statement Under the Gambling Act 2005 (<i>Written Report</i>)				28							
Life Centre and Related Projects						23		13			
Plymouth's Sports Facility Strategy					26			25			
Events Strategy - Coherent Marketing Strategy (<i>Joint Task and Finish Group</i>)											
Review of the Library Service (<i>Task and Finish Group</i>)											
Plympton Library Replacement – Update (<i>Written Report</i>)								25			
Cumulative Impact Policy (<i>Written Report</i>)				28							
Equalities Framework (Equality Standards for Local Government Peer Review)											
Financial Inclusion Strategy – Refresh Action Plan (<i>Task and Finish Group</i>)								25			
Localities Work (<i>Task and Finish Group</i>)						2 / 5					
Crime and Disorder Reduction Partnerships											
Allotment Strategy											
Tree Strategy											
Waste Collection										29	
Election Annual Review – Update (<i>Written Report</i>)						23					
Access to Services Inspection – Update (<i>Written Report</i>)						23					

Topics	J	J	A	S	O	N	D	J	F	M	A
Councillor Call for Action Took Kit								25			
Safe and Strong Theme Group – Update <i>(Written Report)</i>								25			
Joint Finance and Performance Monitoring including LAA Performance Monitoring (subject to the Overview and Scrutiny Management Board referring issues to the Panel)				28		23		25		29	
Monitor CIPs that the Panel is responsible for – CIP 1 (improve customer satisfaction by providing services designed around customer needs) CIP 6 – (to enhance the quality of life of Plymouth residents by widened and improved opportunities to participate in cultural and leisure activities) <i>(referred to the Panel from the Overview and Scrutiny Management Board 7 October 2009 Meeting)</i>						23					
National Indicator 192 ‘Recycling Levels’ <i>(Joint Task and Finish Group with Safe and Strong Theme)</i> <i>(referred to the Panel from Overview and Scrutiny Management Board 2 December 2009)</i>								25			
Quarterly Reports										29	
Tackling Anti Social Behaviour Strategy				28						29	



Growth and Prosperity Overview and Scrutiny

Work Programme 2009/10

Issues Panel May Wish to Scrutinise	J	J	A	S	O	N	D	J	F	M	A
Worklessness				28		23				22	
BID Veto					26						
Carbon Reduction Commitment					26					22	
Strategic Housing Functions										22	
Local Development Framework Annual Monitoring Report								25			
City and Sub Regional Governance								25			
Eastern Corridor Briefings - tbc								25			
Destination South West: Aims and Objectives and consideration of future Plymouth City Council membership.						23					
Joint Finance and Performance Monitoring including LAA Performance Monitoring (subject to the Overview and Scrutiny Management Board referring issues to the Panel)											
Monitor CIPs that the Panel is responsible for – CIP 5 (Providing better and more affordable housing) CIP 10 (Disposing of waste and increasing recycling) CIP 11 (Improving access across the city) CIP 12 (Delivering sustainable growth) <i>(referred to the Panel from the Overview and Scrutiny Management Board 7 October 2009 Meeting)</i>						23					



Health and Adult Social Care Overview and Scrutiny Panel

Work Programme 2009/10

Topics	J	J	A	S	O	N	D	J	F	M	A
Specialised Commissioning – Proposed Service Changes -											
• Soft Tissue Sarcoma				23							
• Specialised Burn Care Services								27			
South West Ambulance Services NHS Trust – Foundation Trust Consultation				23							
Plymouth Hospitals NHS Trust - Monitoring Future Provision of Maternity Services				23						31	
Plymouth Hospitals NHS Trust – Foundation Trust Status and Hygiene Code Update				23							
Adult Social Care Service Performance Update					28						
Adult Social Care – Integrated Services					28						
NHS Plymouth Draft Strategic Framework					28						
Pandemic Flu Plan (NHS Plymouth)					28						
Residential Care: Update on Modernisation of Older People’s Services (Consultation Results) - Consultation of future use of Whitleigh Residential Home to be brought back to Panel, as agreed 28/10/09					28						
Hyperbaric Medical Centre					28						
Plymouth Hospitals Trust Strategy Review 2009					28						
A Focus on Reducing Teenage Conception Rates in the City (Joint Task and Finish Group with CYPOSP)					21	11 & 24		22			
Plymouth Hospitals NHS Trust – Car Parking Update						25					

Topics	J	J	A	S	O	N	D	J	F	M	A
Identification of additional specialist surgical centres for rare Cancers <ul style="list-style-type: none"> • Gynaecology • Head and Neck 								27			
Private Rented Housing Issues – Condition Stock Survey Results	April/May 2010										
Monitoring Implementation of the National Dual Diagnosis Strategy											
All Our Futures											
End of Life Care Pathway											
Barriers to Access											
Participation Rates in Sports (to include details of progress with the free swimming programme for the over 60's)											
Fuel Poverty											

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NEW FORWARD PLAN ITEMS 1 JANUARY TO 30 APRIL 2010**TAMAR SCIENCE PARK (FP 44 09/10)****Nature of the decision:**

The Cabinet will be requested to approve an increase in the grant aid to Tamar Science Park and to approve a new Governance Structure with the University of Plymouth

Who will make the decision? Cabinet (on the recommendation of Councillor Mrs Pengelly)

Timing of the decision? 19 January 2010

Who will be consulted and how?Persons to be consulted with:

The Leader, Cabinet members, Director of Development and Regeneration, Director of Corporate Support

Process to be used:

Circulation of written report

Information to be considered by the decision makers:

The proposals contained in the written report

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

As above for decision makers

Representations: In writing by 4 January 2010 to:

1. Director of Development and Regeneration
2. Councillor Mrs Pengelly (Leader)
3. Councillor Fry (Cabinet member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents: For further information contact: Phil Jones (Corp Res), Principal Development Surveyor phil.jones@plymouth.gov.uk
Tel: (01752) 304167

APPOINTMENT OF A SUPPLIER FOR THE PROVISION OF ADVERTISING SERVICES (FP 46 09/10)

Nature of the decision:

To approve the appointment of a new supplier for the provision of advertising services. (Contract re-tendered following expiry of existing contract).

Who will make the decision? Cabinet (on the recommendation of Councillor Bowyer)

Timing of the decision? 9 February 2010

Who will be consulted and how?

Persons to be consulted with:

Communications team, Human Resources, Children's Services, plus departments that have a significant spend on public notices or high levels of media requirements.

Process to be used:

Direct consultation and feedback with stakeholders.

Information to be considered by the decision makers:

Contract award report (to be forwarded following tender process).

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

Contract Award Report (to be forwarded following tender process).

Representations:

In writing by 1st January 2010 to Corporate Communications manager or cabinet representatives Cllr Bowyer.

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Clive Woodley, Product Portfolio Manager
clive.woodley@plymouth.gov.uk Tel: (01752) 305425

NEW FORWARD PLAN ITEMS 1 FEBRUARY TO 31 MAY 2010

CORPORATE PLAN (FP 50 09/10)

Nature of the decision:

To approve the Corporate Plan 2010-13

Who will make the decision? City Council (Cabinet Member: The Leader)

Timing of the decision? 1 March 2010

Who will be consulted and how?

Persons to be consulted with:

1. Members of the public and local community representatives
2. Lead officers for related strategies and plans
3. Members of the Council's senior management team
4. Overview & Scrutiny Management Board
5. Cabinet

Process to be used:

1. Area Committees
2. Plymouth City Council's Corporate Strategy Forum
3. Corporate and departmental management team meetings
4. Overview & Scrutiny Management Board meetings on 15 and 17 February 2010
5. Cabinet meeting on 9 February 2010.

Information to be considered by the decision makers:

Priorities in the Sustainable Community Strategy

Local Area Agreement targets

Judgements from audits and inspections.

Value for money service profiles

Corporate Area Assessment

Place Survey

Feedback from area committees.

Recommendations from Scrutiny and Cabinet.

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

Medium term financial plan 2010-13

Capital and Asset Management strategy 2010-13

Representations: In writing by 25 January 2010 to -

(1) Jonathan Fry Policy, Performance and Partnerships

(2) Councillor Mrs Pengelly (Leader)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Jonathan Fry, Policy and Performance Officer

E mail: jonathan.fry@plymouth.gov.uk Tel: (01752)304144

PLYMOUTH LOCAL INVESTMENT PLAN (FP 42 09/10)

Nature of the decision:

To seek approval for an Investment Plan which progresses delivery of the Growth Agenda.

Who will make the decision? Cabinet (on the recommendation of Councillor Fry)

Timing of the decision? Between 16 March 2010 and 6 April 2010

Who will be consulted and how?

Persons to be consulted with:

Local Authorities in the Sub Region
Government Office South West
Home and Communities Agency
Wealthy Theme Group
Regional Development Agency
City Development Company
Highways Agency
Natural England
Environment Agency
Other local groups, organisations and businesses

Process to be used:

Direct discussions
Meetings and workshops
Presentations

Information to be considered by the decision makers:

1. Local Development Framework Core Strategy and Area Action Plans
2. Infrastructure schemes
3. Evidence base reports
4. Views / strategies of stakeholders

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 1 March 2010 to -

1. Assistant Director of Development (Planning)
2. Councillor Fry (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Paul Barnard, Assistant Director for Development (Planning)

E mail: paul.barnard@plymouth.gov.uk Tel: (01752) 304860

EXTENSION OF THE DESIGNATION OF EFFORD MARSH LOCAL NATURE RESERVES (FP 49 09/10)

Nature of the decision:

To seek approval for the extension of the designation of Efford Marsh Local Nature Reserve

Who will make the decision? Cabinet (on the recommendation of Councillor Fry)

Timing of the decision? Between 16 March 2010 and 30 June 2010

Who will be consulted and how?

Persons to be consulted with:

Natural England

Process to be used:

Direct contact (Note: These proposals are a rationalisation of the boundaries of the currently legally designated reserves to encompass adjacent land that is owned and managed by PCC.)

Information to be considered by the decision makers:

Efford Marsh Local Nature Reserve Management Plan

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 1 March 2010 to -

1. Assistant Director of Development (Planning Services)
2. Councillor Fry (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Jeremy Sabel, Nature Conservation Officer
E mail: jeremy.sabel@plymouth.gov.uk Tel: (01752) 304229

EXTENSION OF THE DESIGNATION OF FORDER VALLEY LOCAL NATURE RESERVES (FP 48 09/10)

Nature of the decision:

To seek approval for the extension of the designation of Forder Valley Local Nature Reserve

Who will make the decision? Cabinet (on the recommendation of Councillor Fry)

Timing of the decision? Between 16 March 2010 and 30 June 2010

Who will be consulted and how?

Persons to be consulted with:

Natural England

Process to be used:

Direct contact (Note: These proposals are a rationalisation of the boundaries of the currently legally designated reserves to encompass adjacent land that is owned and managed by PCC.)

Information to be considered by the decision makers:

Forder Valley Local Nature Reserve Management Plan

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 1 March 2010 to -

1. Assistant Director of Development (Planning Services)
2. Councillor Fry (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Jeremy Sabel, Nature Conservation Officer
E mail: jeremy.sabel@plymouth.gov.uk Tel: (01752) 304229

LOCAL DEVELOPMENT FRAMEWORK: ADOPTION OF PLANNING OBLIGATIONS AND AFFORDABLE HOUSING SUPPLEMENTARY PLANNING DOCUMENT, FIRST REVIEW (FP 43 09/10)

Nature of the decision:

To formally adopt the first review of the Planning Obligations and Affordable Housing Supplementary Planning Document

Who will make the decision? City Council (Cabinet Member: Councillor Fry)

Timing of the decision? Between 26 April 2010 and 30 July 2010

Who will be consulted and how?

Persons to be consulted with:

A full public consultation process was undertaken on the draft Supplementary Planning Document

Process to be used:

Meetings, events, LDF newsletter and Limehouse consultation portal

Information to be considered by the decision makers:

1. Local Development Scheme
2. Local Development Framework Core Strategy
3. Local Development Framework evidence base reports
4. Adopted Planning Obligations & Affordable Housing Supplementary Planning Document
5. Consultation draft and responses of the Supplementary Planning Document

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 15 March 2010 to -

1. Assistant Director of Development (Planning)
2. Councillor Fry (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Jonathan Bell, Head of Development Planning
E mail: jonathan.bell@plymouth.gov.uk Tel: (01752) 304353

LOCAL DEVELOPMENT FRAMEWORK: ADOPTION OF CITY CENTRE AND UNIVERSITY AREA ACTION PLAN (FP 47 09/10)

Nature of the decision:

To formally adopt the City Centre & University Area Action Plan

Who will make the decision? City Council (Cabinet Member: Councillor Fry)

Timing of the decision? Between 26 April 2010 and 30 July 2010

Who will be consulted and how?

Persons to be consulted with:

A full public consultation process was undertaken on the AAP, which has also been subject to independent Public Examination

Process to be used:

Meetings, events, LDF newsletter and Limehouse consultation portal

Information to be considered by the decision makers:

Report of Public Examination

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 15 March 2010 to -

1. Assistant Director of Development (Planning)
2. Councillor Fry (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Jonathan Bell, Head of Development Planning
E mail: jonathan.bell@plymouth.gov.uk Tel: (01752) 304353

LOCAL DEVELOPMENT FRAMEWORK: ADOPTION OF DEVELOPMENT GUIDELINES SUPPLEMENTARY PLANNING DOCUMENT (FP 45 09/10)

Nature of the decision:

To formally adopt the Development Guidelines Supplementary Planning Document

Who will make the decision? City Council (Cabinet Member: Councillor Fry)

Timing of the decision? Between 26 April 2010 and 30 July 2010

Who will be consulted and how?

Persons to be consulted with:

A full public consultation process was undertaken on the draft Supplementary Planning Document

Process to be used:

Meetings, events, LDF newsletter and Limehouse consultation portal

Information to be considered by the decision makers:

1. Local Development Scheme
2. Local Development Framework Core Strategy
3. Local Development Framework evidence base reports
4. Development Guidelines Supplementary Planning Document consultation draft and responses

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

None.

Representations: In writing by 15 March 2010 to -

1. Assistant Director of Development (Planning)
2. Councillor Fry (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Jonathan Bell, Head of Development Planning
E mail: jonathan.bell@plymouth.gov.uk Tel: (01752) 304353

ASSET MANAGEMENT PLAN AND CAPITAL STRATEGY (FP 51 09/10)

Nature of the decision:

To approve the Asset Management Plan 2010 update

Who will make the decision? City Council (Cabinet Member: Councillor Bowyer)

Timing of the decision? 1 March 2010

Who will be consulted and how?

Persons to be consulted with:

1. Members of the Council's senior management team
2. Overview and Scrutiny Management Board
3. Cabinet

Process to be used:

Circulation of written material to and meetings of –

- Directors
- Cabinet meeting on 9 February 2010
- Overview and Scrutiny Management Board on 15 and 17 February 2010

Information to be considered by the decision makers:

Asset Management Plan update

Recommendations from Cabinet

Recommendations from the Overview and Scrutiny Management Board

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 25 January 2010 to -

1. Assistant Director of Development (Property & Economic Development)
2. Councillor Bowyer (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Chris Trevitt, Head of Corporate Property

E mail: chris.trevitt@plymouth.gov.uk Tel: (01752) 307778

SETTING THE 2010/11 BUDGET AND COUNCIL TAX (FP 52 09/10)

Nature of the decision:

To agree the Council's 2010/11 budget (including Revenue Budget, Treasury Management Strategy and Capital Programme) and Council tax for 2010/11

Who will make the decision? City Council (Cabinet Member: Councillor Bowyer)

Timing of the decision? 1 March 2010

Who will be consulted and how?

Persons to be consulted with:

Businesses
Partners
Cabinet
Scrutiny (Overview and Scrutiny Management Board)

Process to be used:

Written reports and meetings, including Cabinet on 9 February and the Overview and Scrutiny Management Board on 15 and 17 February 2010.

Information to be considered by the decision makers:

Report of the Director for Corporate Support
Outcome of consultation
Recommendations from Scrutiny (Overview and Scrutiny Management Board)
Cabinet recommendations

Is this a part of the Budget and Policy Framework? Yes

Documents to be considered when the decision is taken

as above for decision makers

Representations: In writing by 25 January 2010 to -

- (1) Assistant Director, Finance, Assets & Efficiencies - Malcolm Coe
- (2) Councillor Bowyer (Cabinet Member)

Contact details available from Plymouth City Council Tel: 01752 668000

Further information – Availability of Documents:

For further information contact: Sandra Wilson, Corporate Accountancy and Finance Manager

E mail: sandra.wilson@plymouth.gov.uk Tel: (01752) 304942

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